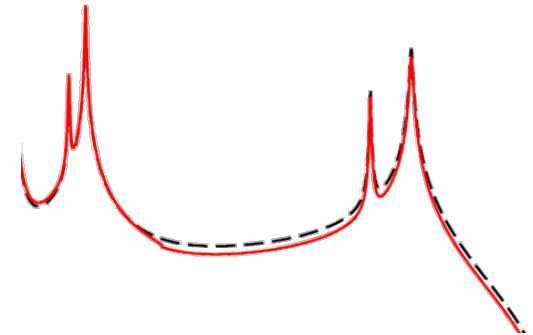


Search for keV Sterile Neutrinos with the ^{163}Ho Electron Capture experiment

Loredana Gastaldo
for the ECHO Collaboration

Kirchhoff Institute for Physics, Heidelberg University

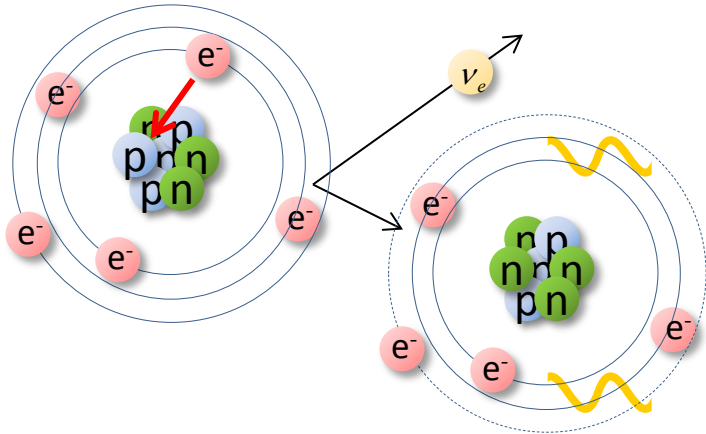
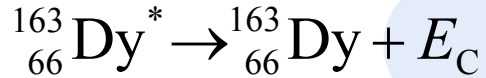
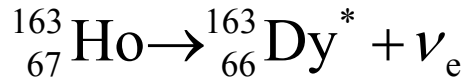


Contents

- ^{163}Ho and electron neutrino mass
- The ECHO neutrino mass experiment
- keV sterile neutrinos and ECHO
- Conclusions and outlook



^{163}Ho and neutrino mass



Atomic de-excitation:

- X-ray emission
- Auger electrons
- Coster-Kronig transitions

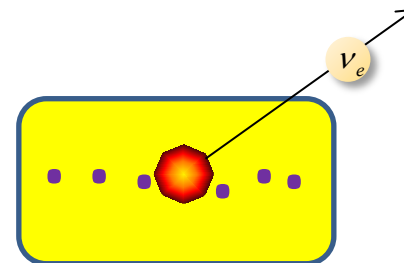
Calorimetric measurement

- $\tau_{1/2} \cong 4570$ years ($2 \cdot 10^{11}$ atoms for 1 Bq)

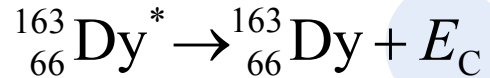
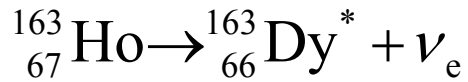
- $Q_{EC} = (2.833 \pm 0.030^{\text{stat}} \pm 0.015^{\text{syst}})$ keV

S. Eliseev et al., *Phys. Rev. Lett.*, 115, 062501 (2015)

A non-zero neutrino mass affects the de-excitation energy spectrum



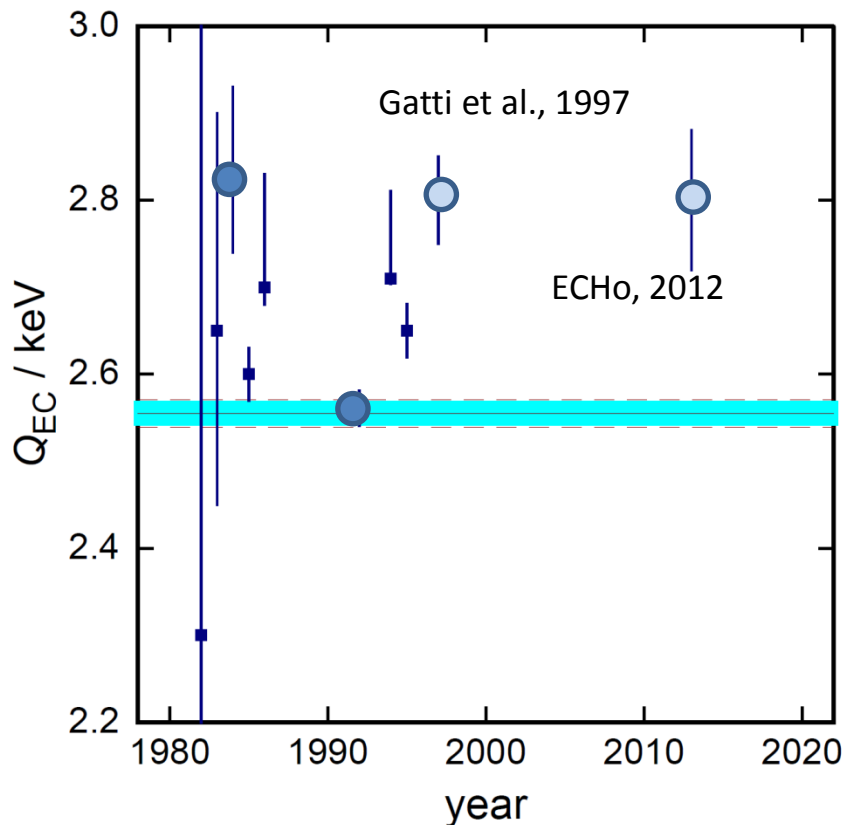
^{163}Ho Q_{EC} -value



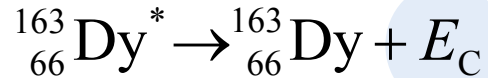
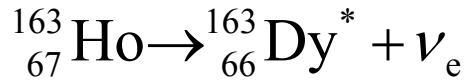
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S. Eliseev et al., *Phys. Rev. Lett.*, 115, 062501 (2015)



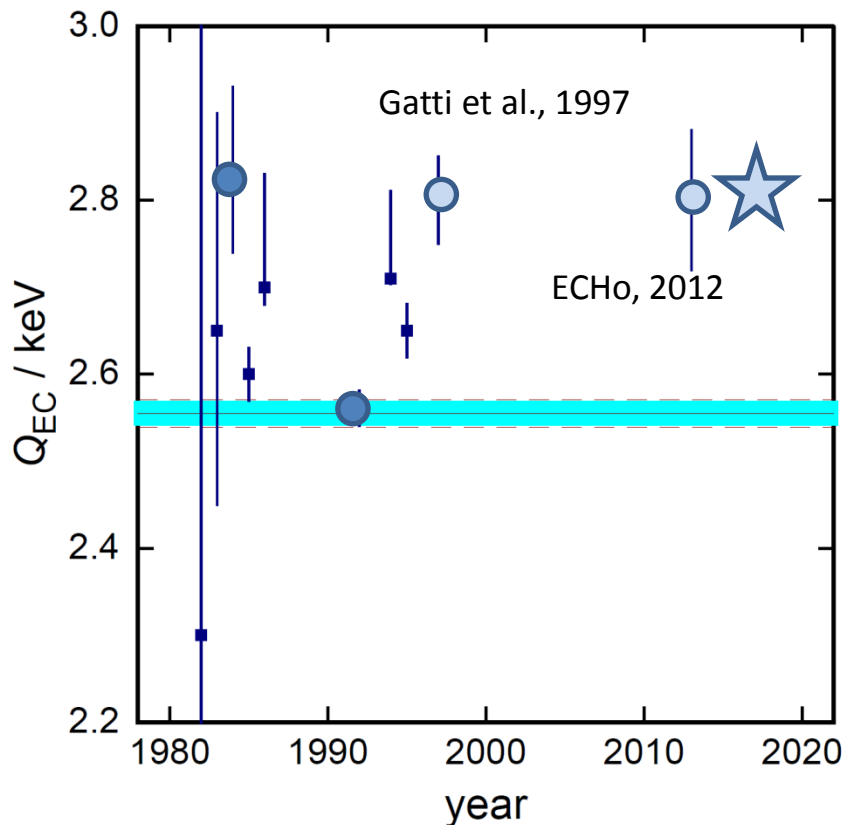
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● Calorimetric measurements

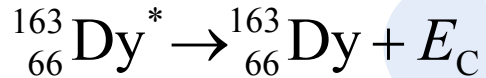
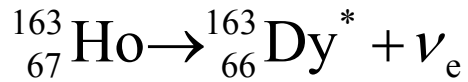
■ Measurements of x-rays

Independent of ^{163}Ho decay parameters



Penning Trap Mass Spectroscopy
@SHIPTRAP GSI

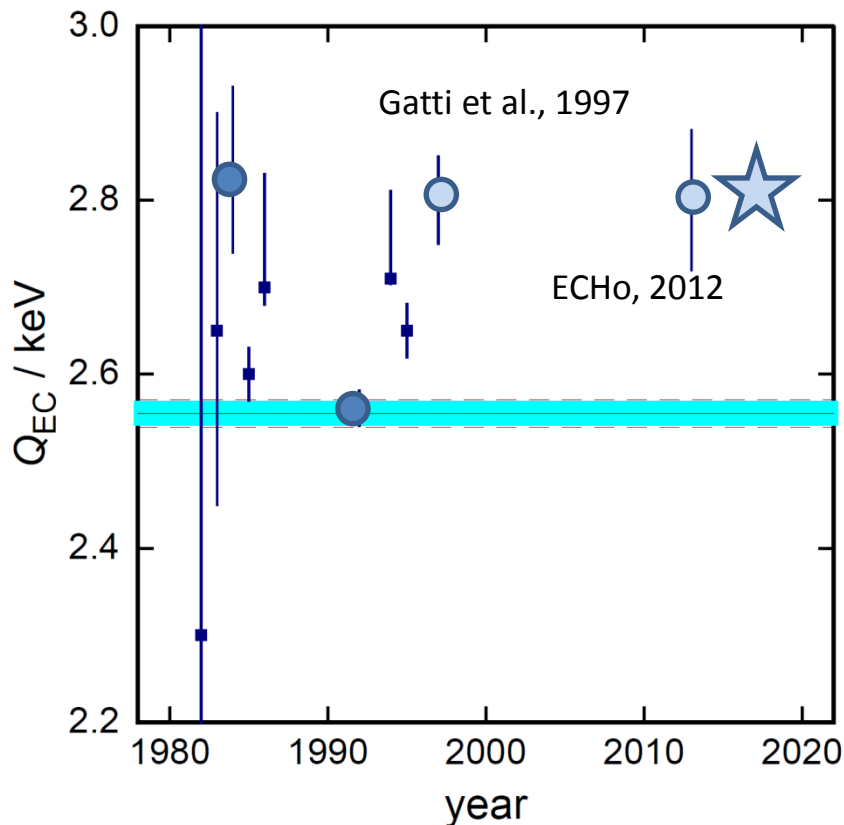
^{163}Ho Q_{EC} -value



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S. Eliseev et al., *Phys. Rev. Lett.*, 115, 062501 (2015)



● Calorimetric measurements

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Penning Trap Mass Spectroscopy
@SHIPTRAP GSI

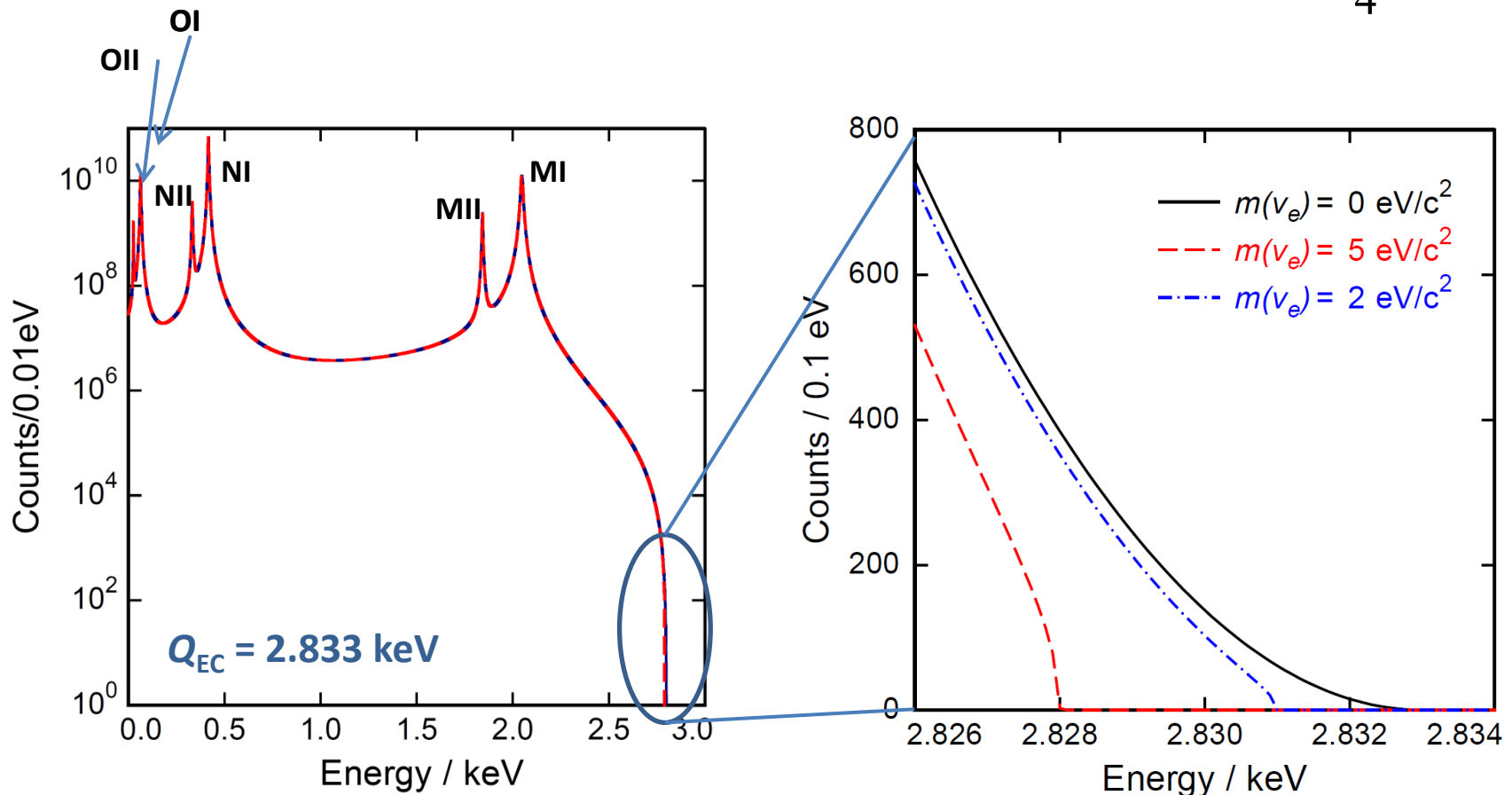
To reduce uncertainties in the analysis:

Q_{EC} determination within **1 eV**

→ **PENTATRAP (MPIK HD)**

^{163}Ho Q_{EC} -value

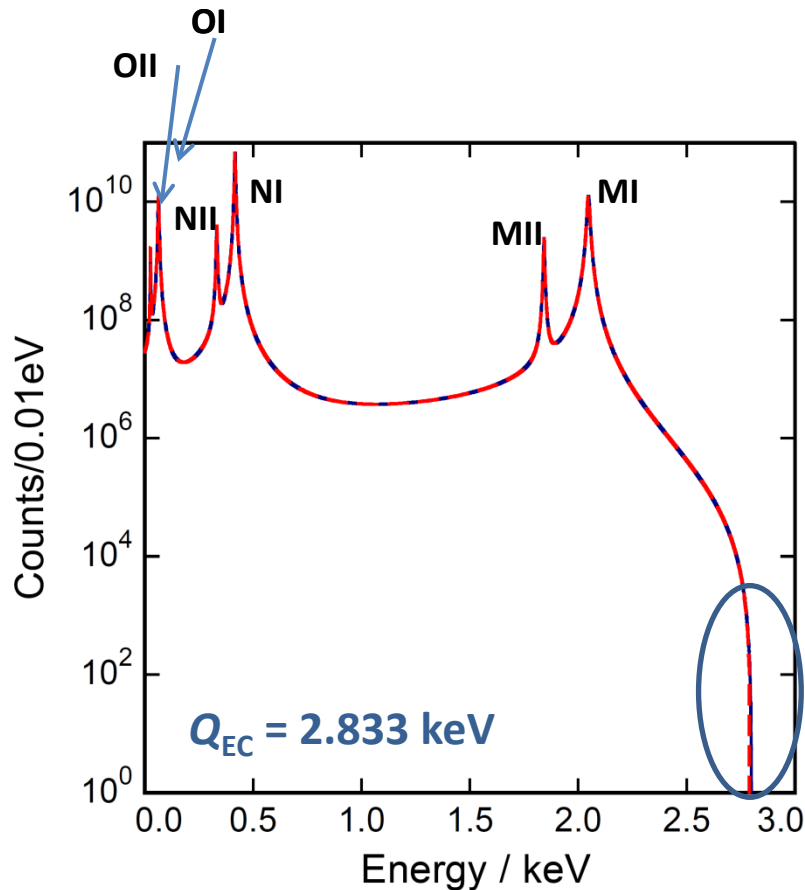
$$\frac{dW}{dE_C} = A(Q_{\text{EC}} - E_C)^2 \sqrt{1 - \frac{m_\nu^2}{(Q_{\text{EC}} - E_C)^2}} \sum_{\text{H}} B_{\text{H}} \phi_{\text{H}}^2(0) \frac{\frac{\Gamma_{\text{H}}}{2\pi}}{(E_C - E_{\text{H}})^2 + \frac{\Gamma_{\text{H}}^2}{4}}$$



Requirements for sub-eV sensitivity in ECHO

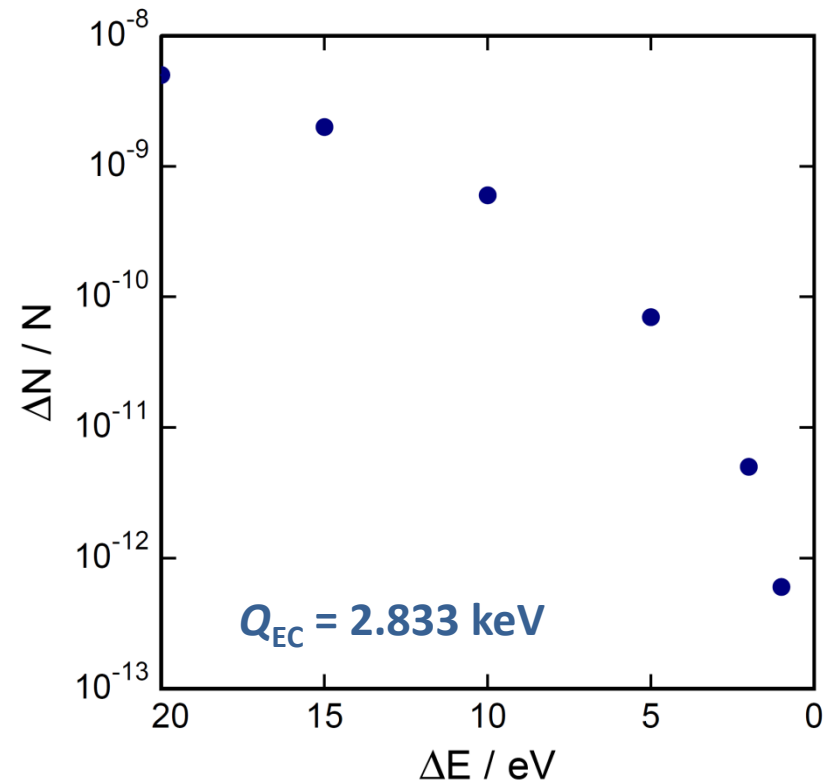
Statistics in the end point region

- $N_{ev} > 10^{14} \rightarrow A \approx 1 \text{ MBq}$



Fraction of events at endpoint regions

- In the interval 2.832 -2.833 keV only 6×10^{-13}



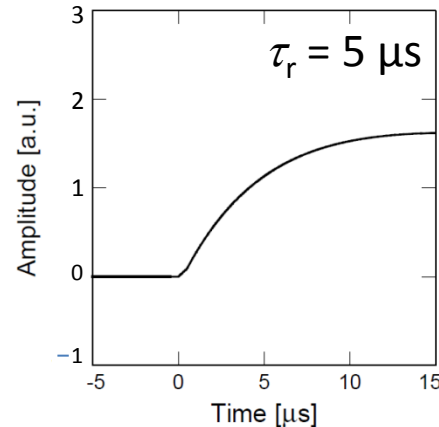
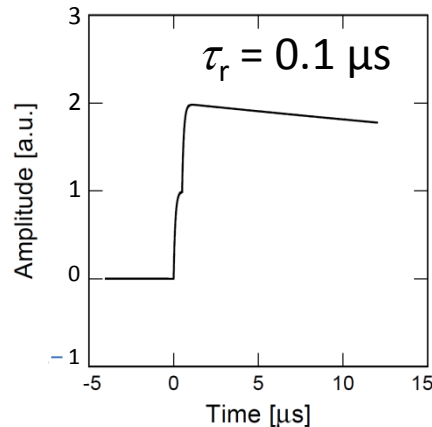
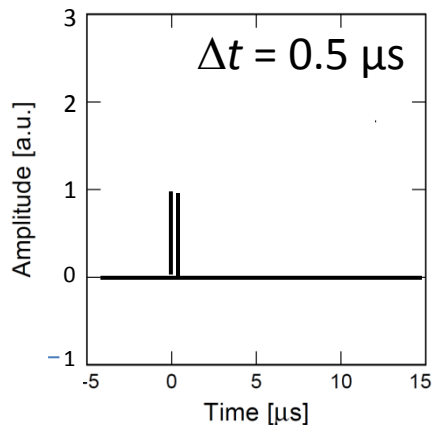
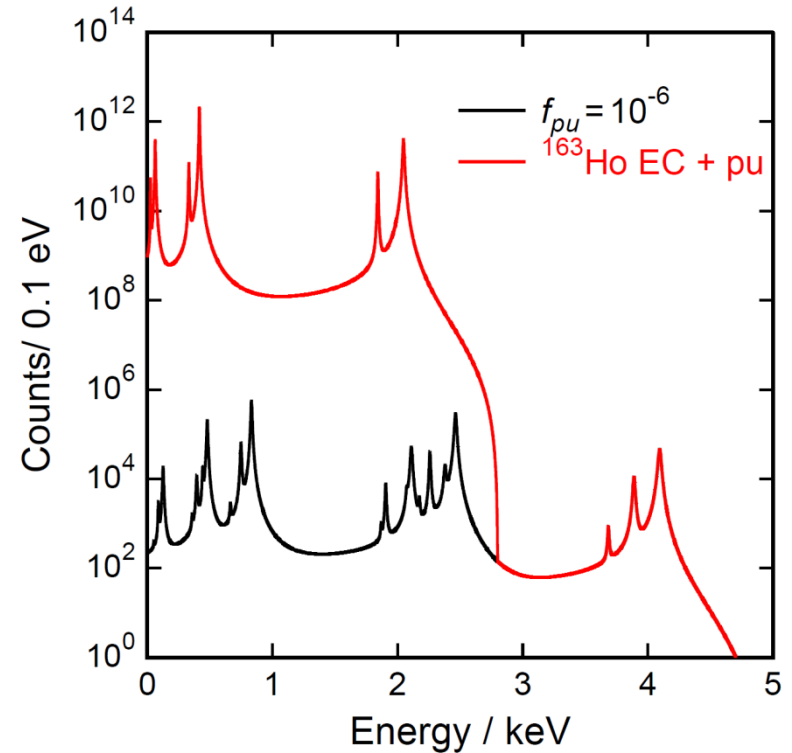
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- $N_{\text{ev}} > 10^{14} \rightarrow A \approx 1 \text{ MBq}$

Unresolved pile-up ($f_{\text{pu}} \sim a \cdot \tau_r$)

- $f_{\text{pu}} < 10^{-5}$
- $\tau_r < 1 \mu\text{s} \rightarrow a \sim 10 \text{ Bq}$
- 10^5 pixels



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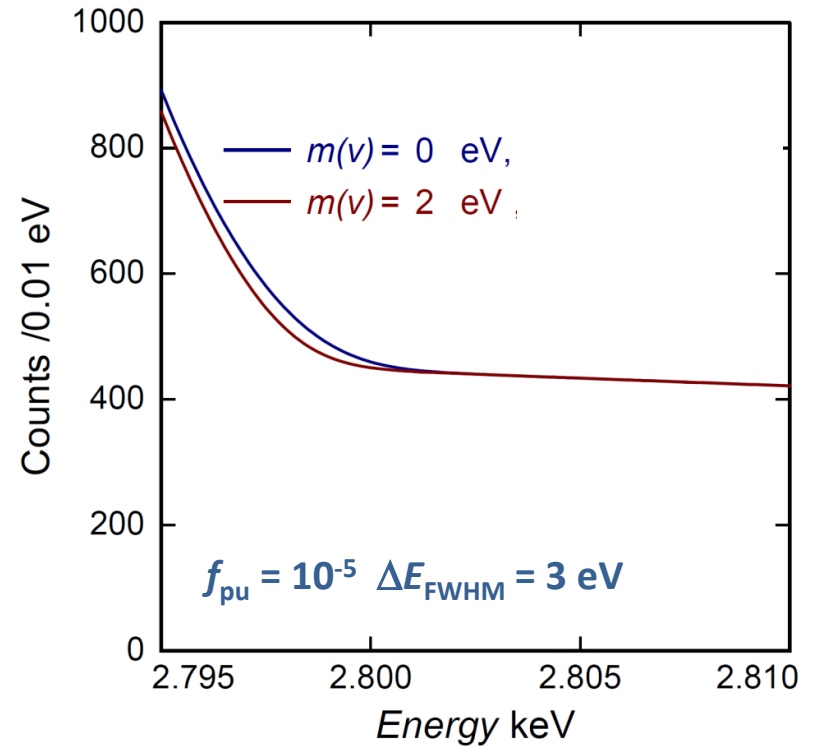
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Precision characterization of the endpoint region

- $\Delta E_{\text{FWHM}} < 3 \text{ eV}$



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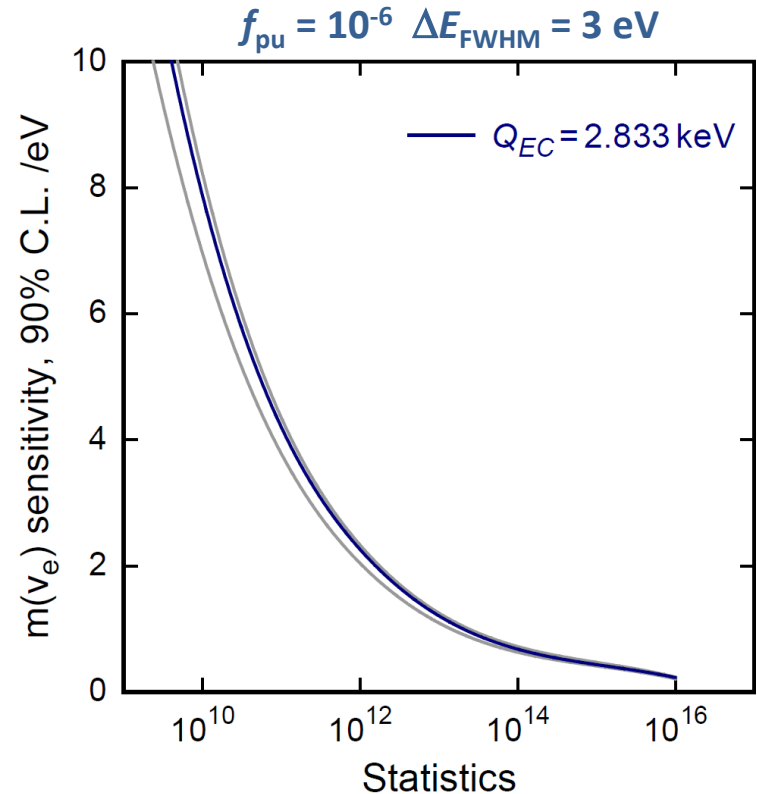
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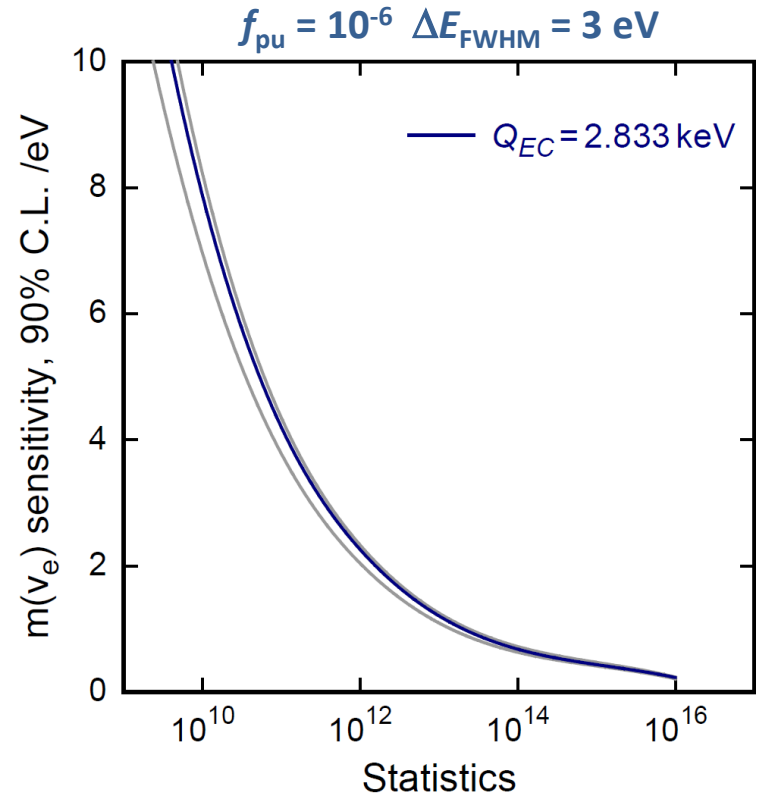
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Low temperature

Metallic Magnetic Calorimeter

EChO timeline

- Prove **scalability** with medium large experiment **EChO-1K**
 - $A \sim 1000 \text{ Bq}$
 - $\Delta E_{\text{FWHM}} < 5 \text{ eV}$
 - $\tau_r < 1 \mu\text{s}$
- 1 year measuring time $\rightarrow 10^{10}$ counts = Neutrino mass sensitivity $m_\nu < 10 \text{ eV}$

Supported by

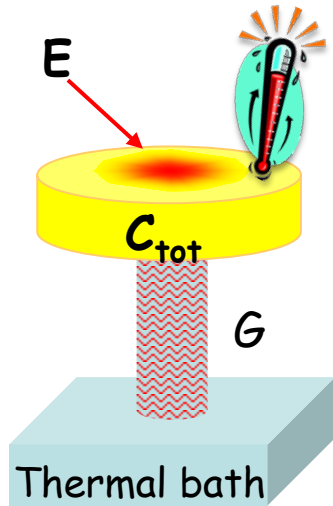
Research Unit FOR 2202/1

„Neutrino Mass Determination by Electron Capture in Holmium-163 – EChO“

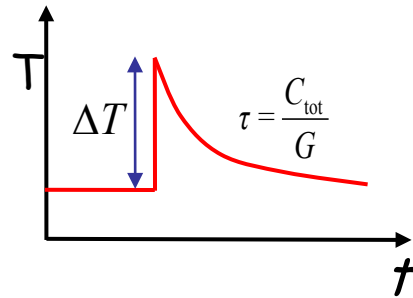
DFG Deutsche
Forschungsgemeinschaft

- **EChO-1M** towards sub-eV sensitivity

Low temperature micro-calorimeters



$$\Delta T \cong \frac{E}{C_{\text{tot}}}$$



$$E = 10 \text{ keV}$$

$$C_{\text{tot}} = 1 \text{ pJ/K}$$

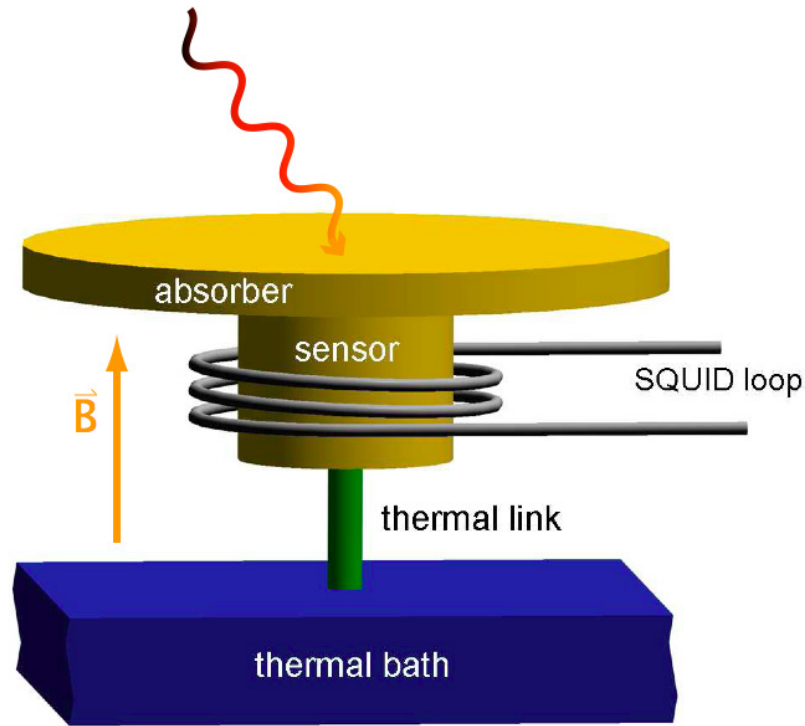
→ ~ 1 mK

- Very small volume
- Working temperature below 100 mK
small specific heat
small thermal noise
- Very sensitive temperature sensor

Metallic magnetic calorimeters (MMCs)

A. Fleischmann et al.,
AIP Conf. Proc. **1185**, 571, (2009)

- Paramagnetic Au:Er sensor
Ag:Er

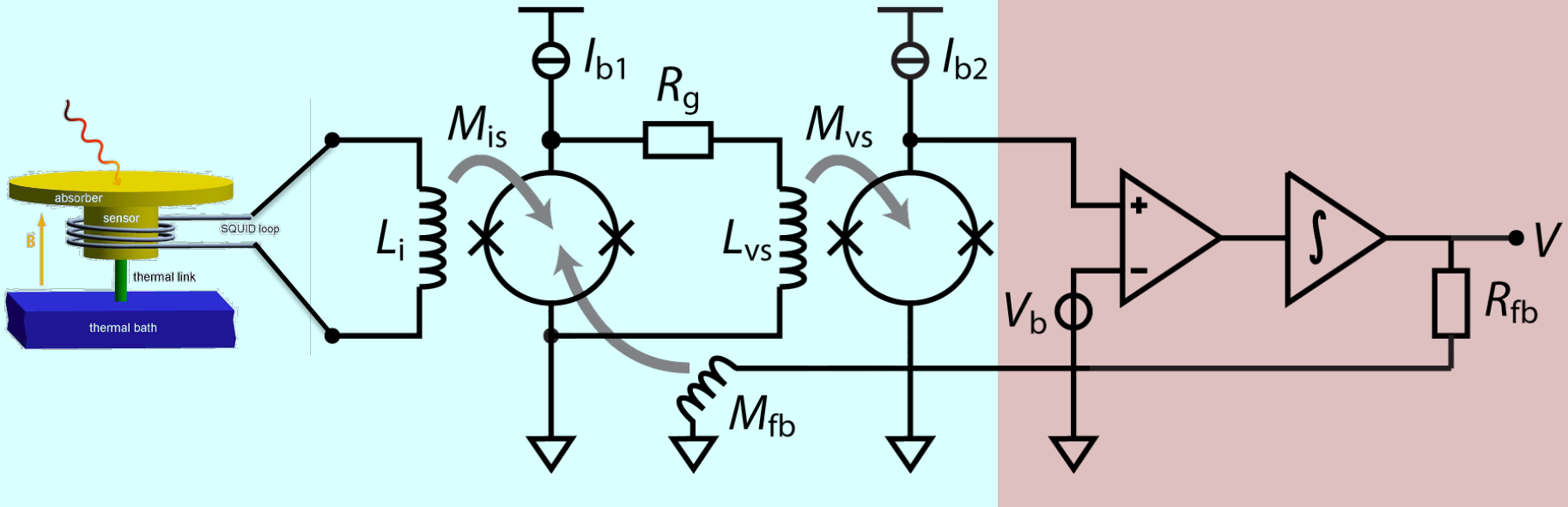


$$\Delta\Phi_s \propto \frac{\partial M}{\partial T} \Delta T \quad \rightarrow \quad \Delta\Phi_s \propto \frac{\partial M}{\partial T} \frac{E}{C_{\text{sens}} + C_{\text{abs}}}$$

MMCs: Readout

$T \sim 30 \text{ mK}$

$T \sim 300 \text{ mK}$

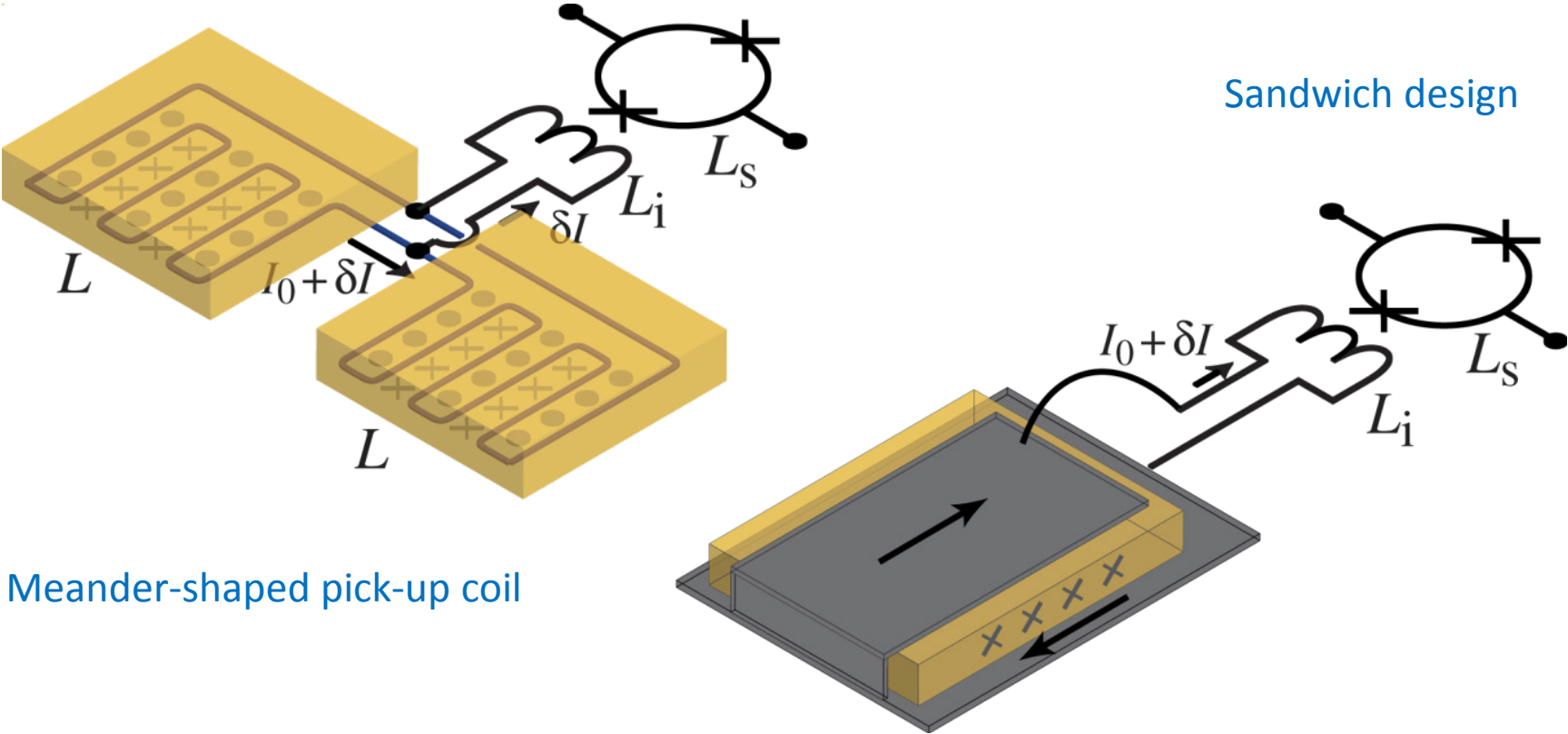


Two-stage SQUID setup with flux locked loop allows for:

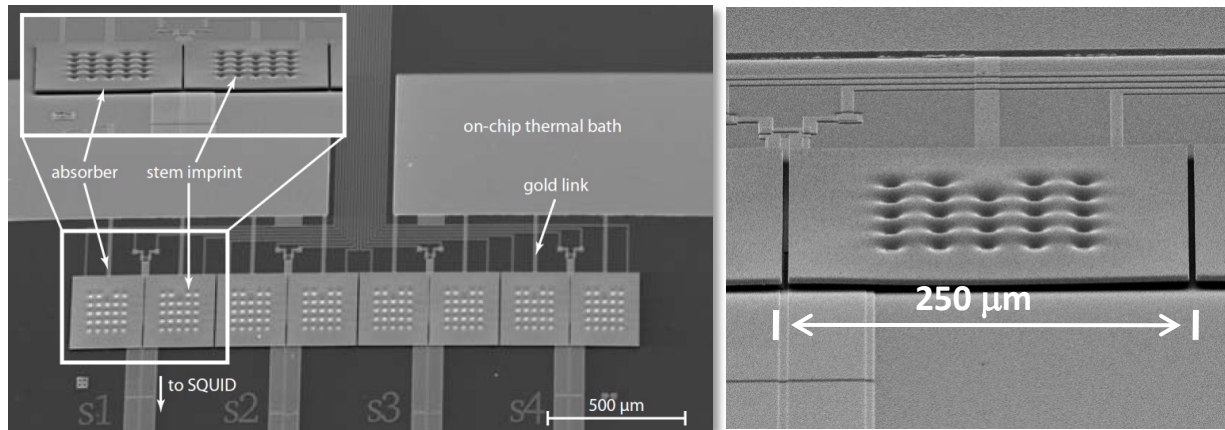
- low noise
- large bandwidth / slewrate
- small power dissipation on detector SQUID chip (voltage bias)

MMCs: Planar geometries

- Planar temperature sensor
- B-field generated by persistent current
- transformer coupled to SQUID

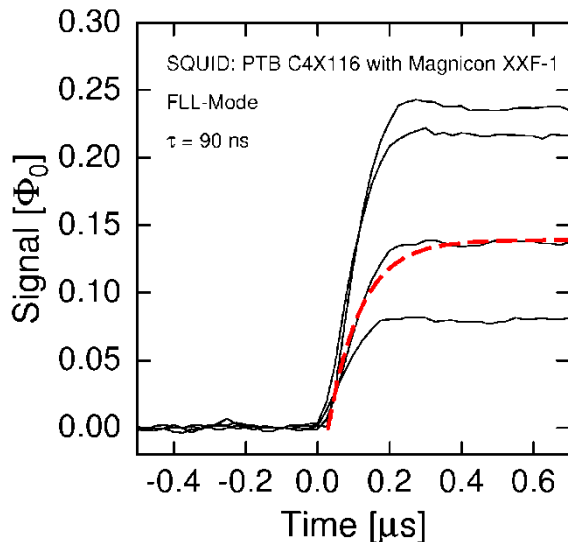


MMCs: 1d-array for soft x-rays ($T=20$ mK)



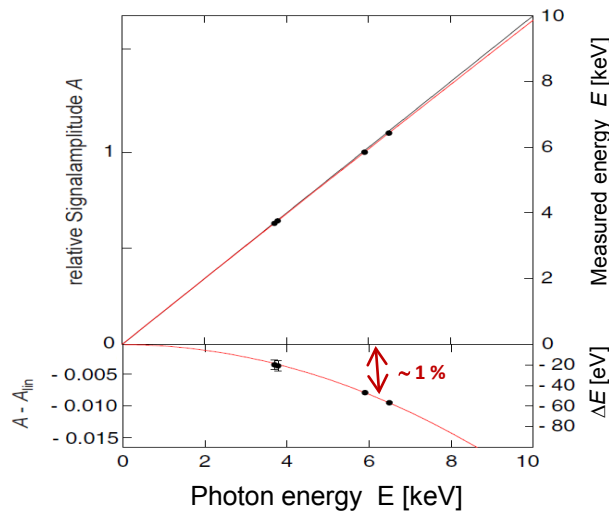
$$\Delta E_{\text{FWHM}} = 1.6 \text{ eV @ } 6 \text{ keV}$$

Rise Time: 90 ns

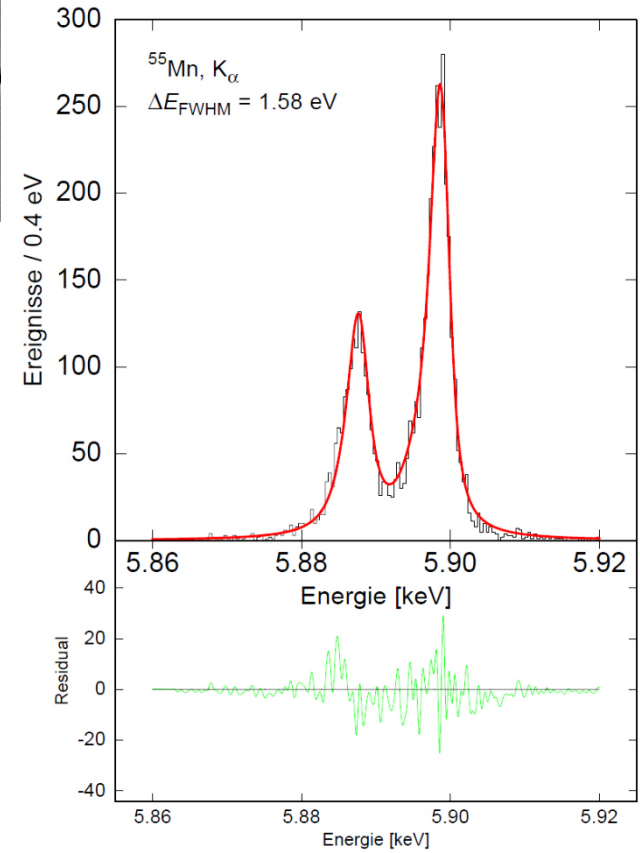


Reduction
un-resolved pile-up

Non-Linearity < 1% @6keV



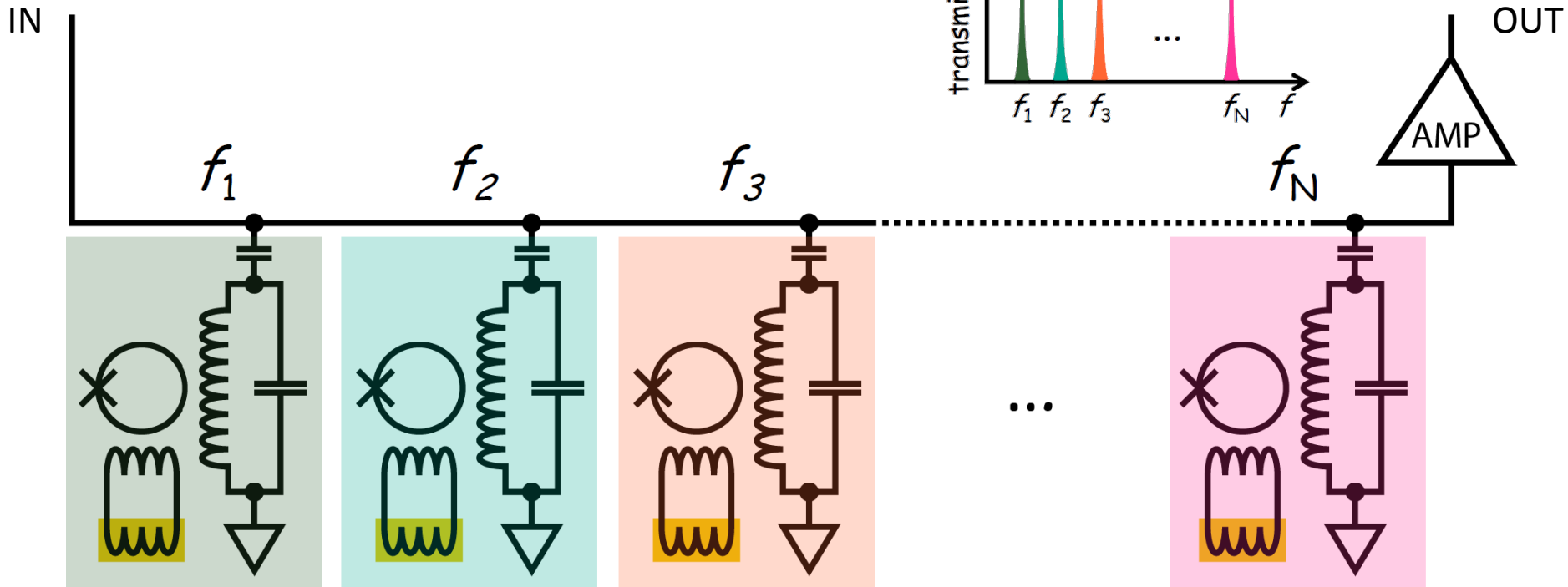
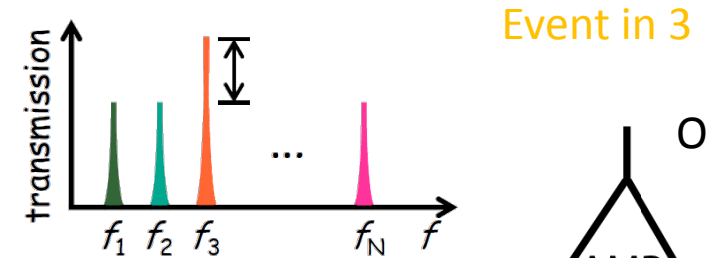
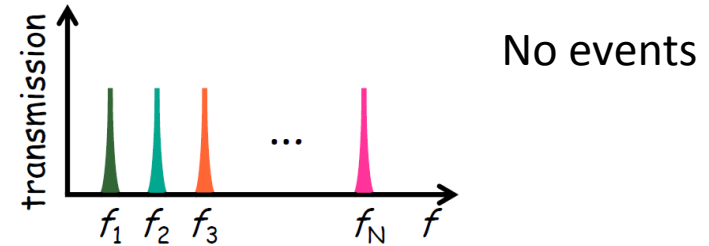
Definition
of the energy scale



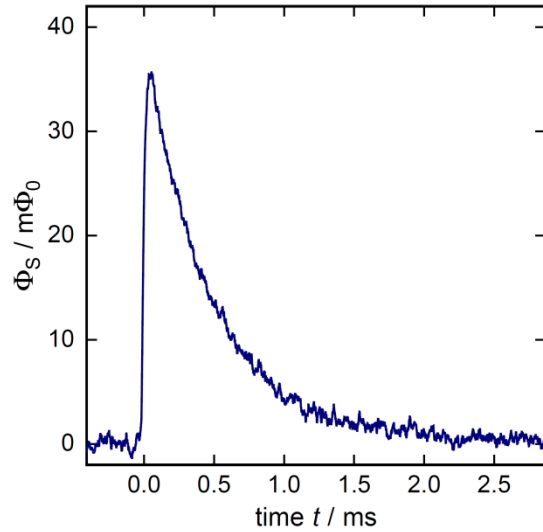
Reduced smearing
in the end point region

MMCs: Microwave SQUID multiplexing

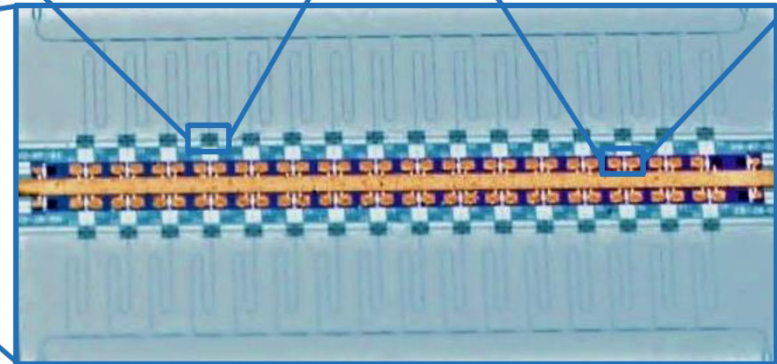
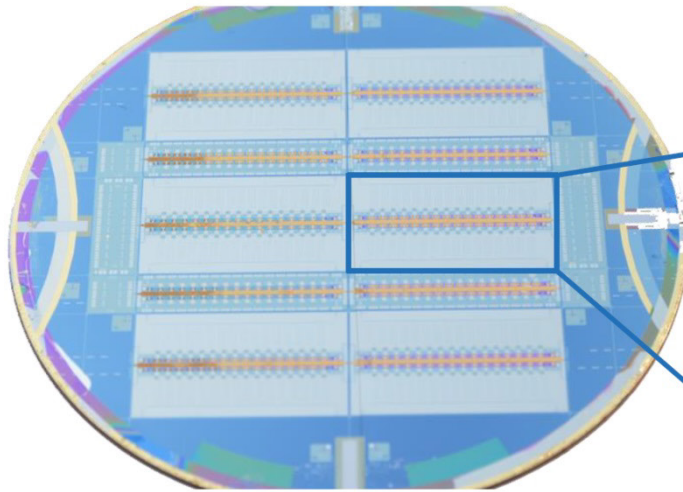
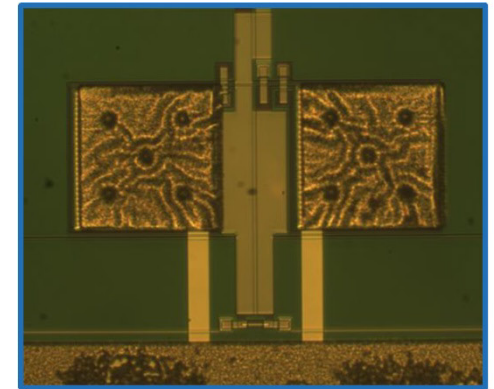
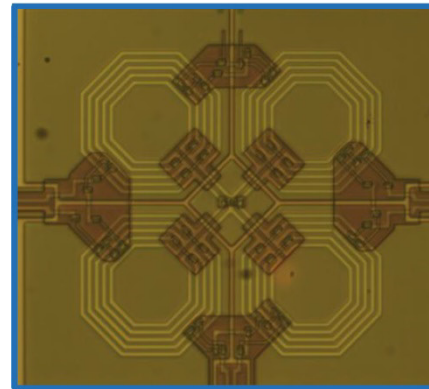
- Single HEMT amplifier and 2 coaxes to read out **100 - 1000** detectors



MMCs: Microwave SQUID multiplexing

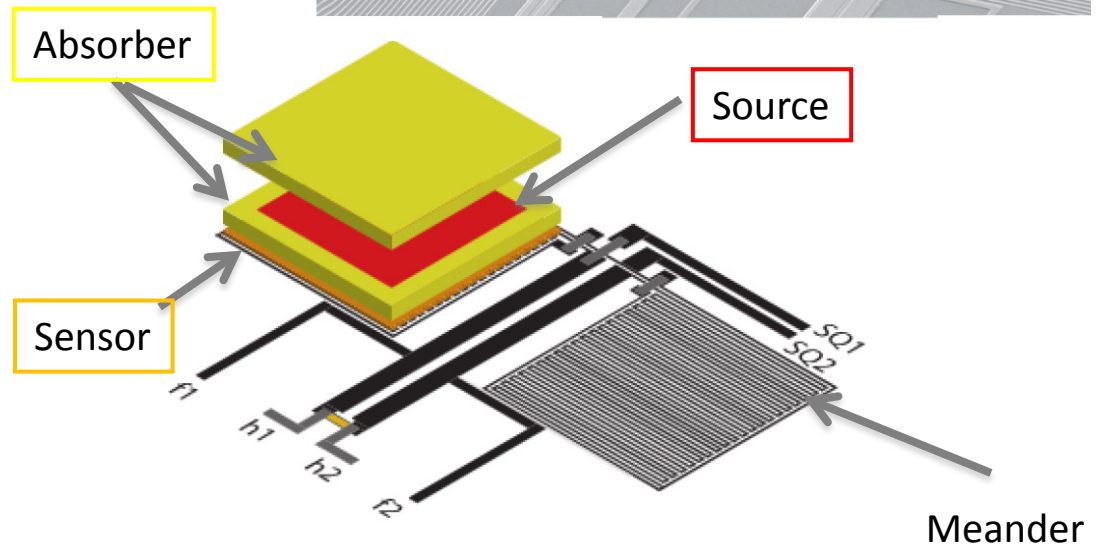
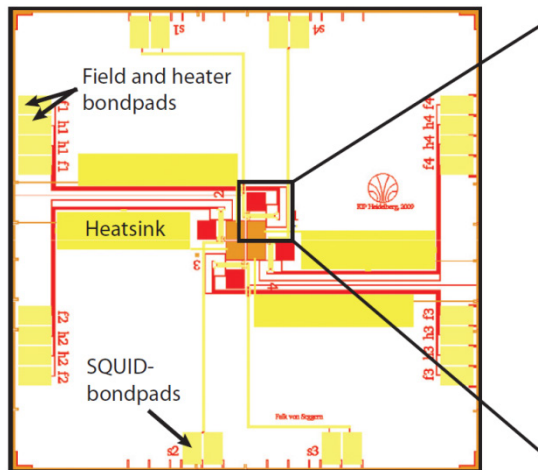
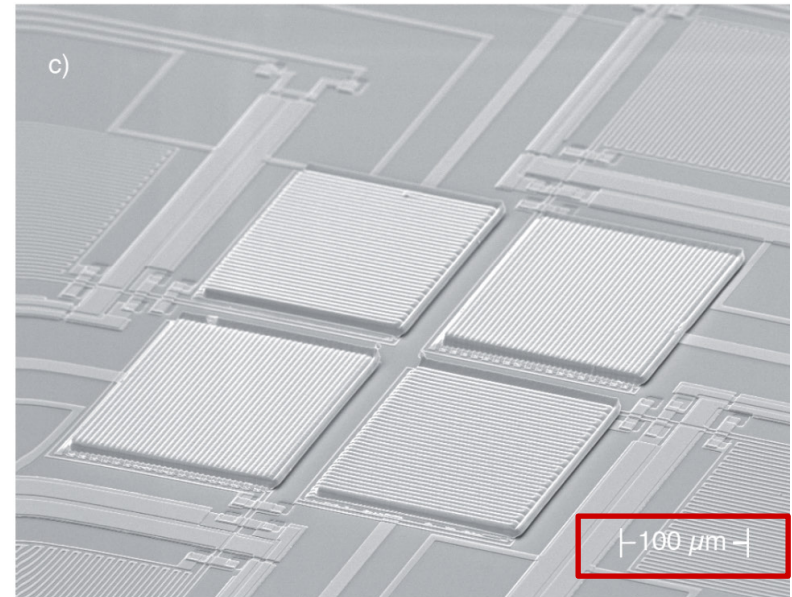


Successful production and test of the first prototype



First detector prototype for ^{163}Ho

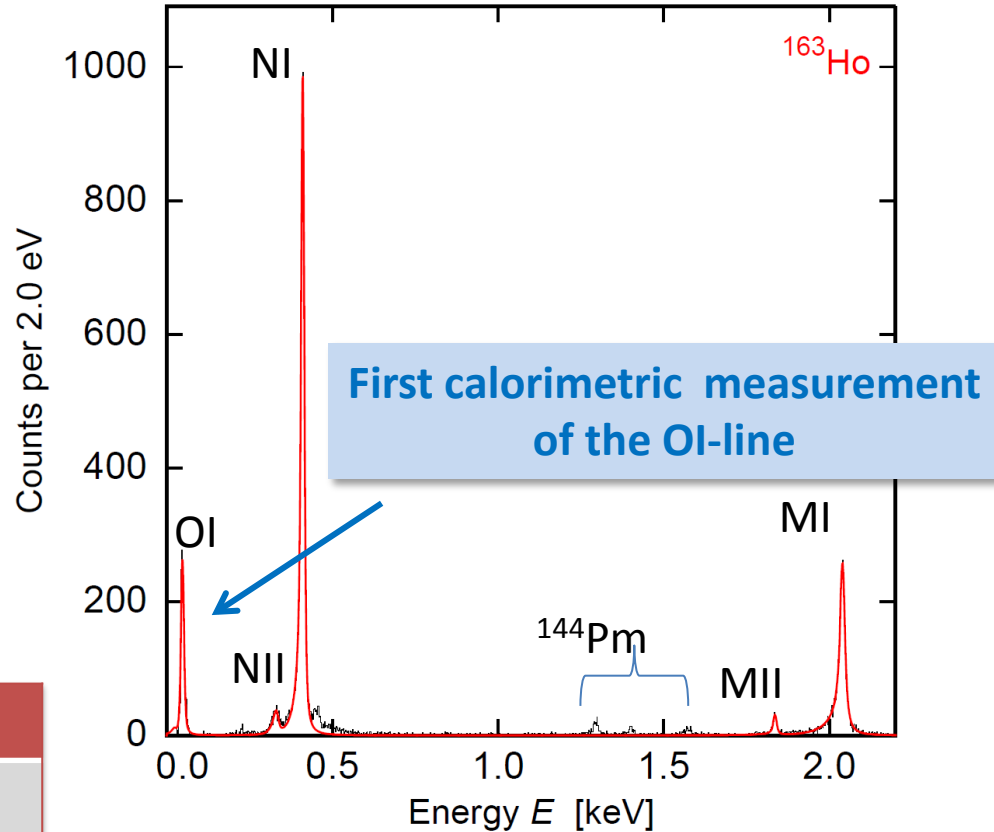
- Absorber for calorimetric measurement
→ ion implantation @ ISOLDE-CERN in 2009
on-line process
- About 0.01 Bq per pixel
- Operated over more than 4 years



Calorimetric spectrum

- Rise Time ~ 130 ns
- $\Delta E_{\text{FWHM}} = 7.6$ eV @ 6 keV (2013)
- Non-Linearity $< 1\%$ @ 6keV
- Synchronized measurement of 2 pixels

	E_{H} bind.	E_{H} exp.	Γ_{H} lit.	Γ_{H} exp
MI	2.047	2.040	13.2	13.7
MII	1.845	1.836	6.0	7.2
NI	0.420	0.411	5.4	5.3
NII	0.340	0.333	5.3	8.0
OI	0.050	0.048	5.0	4.3



$$Q_{\text{EC}} = (2.843 \pm 0.009^{\text{stat}} \pm 0.06^{\text{syst}}) \text{ keV}$$

Where to improve

High purity ^{163}Ho source:

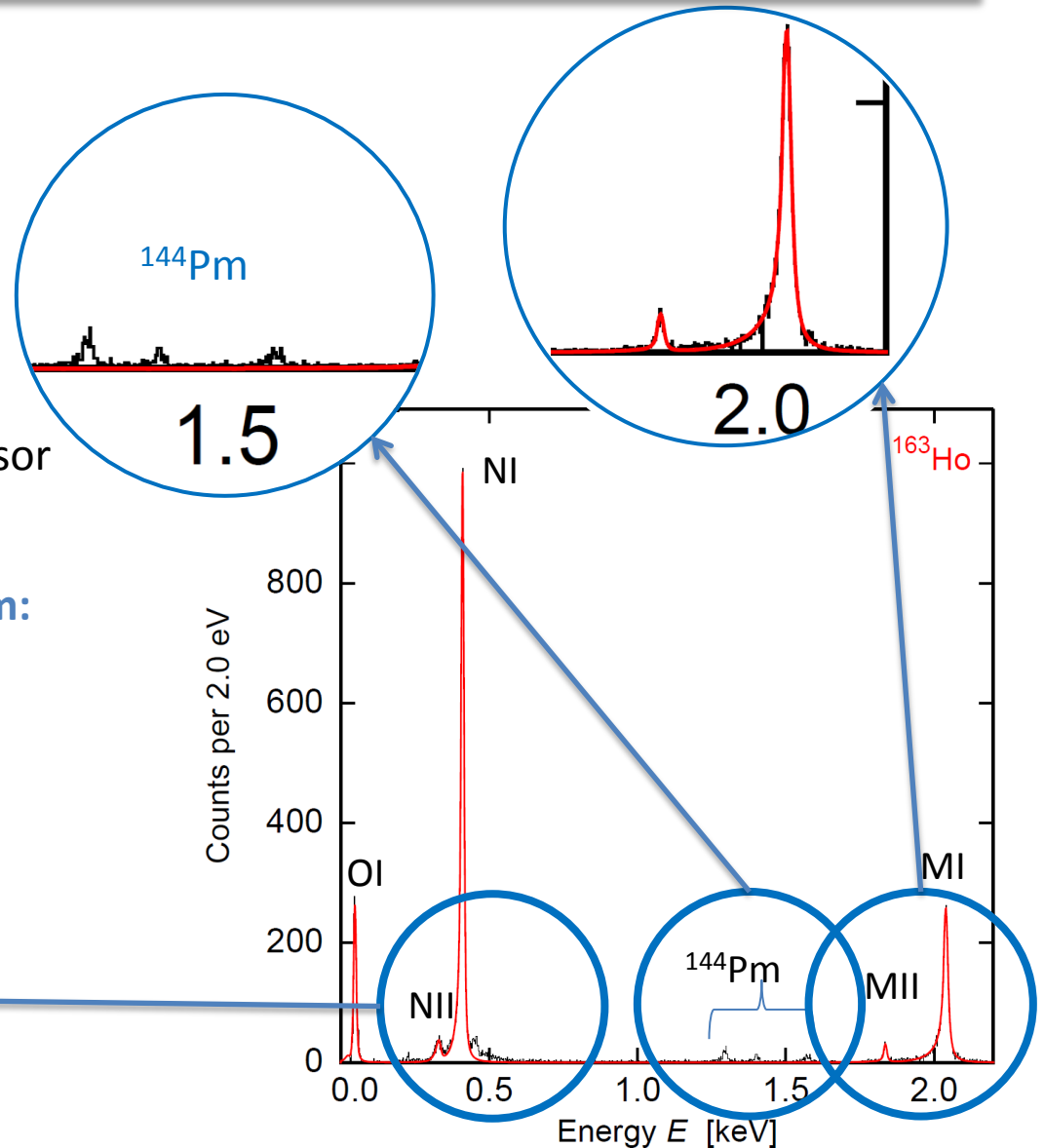
- Background reduction

Detector design and fabrication:

- Increase activity per pixel
- Stems between absorber and sensor

Understanding of the ^{163}Ho spectrum:

- Investigate undefined structures



High purity ^{163}Ho source: (n,γ) -reaction on ^{162}Er

Requirement : $>10^6 \text{ Bq} \rightarrow >10^{17} \text{ atoms}$

- (n,γ) -reaction on ^{162}Er
 - High cross-section
 - Radioactive contaminants



Er161 3.21 h 3/2- EC	Er162 0+ 0.14	Er163 75.0 m 5/2- EC	Er164 0+ 1.61	Er165 10.36 h 5/2- EC	Er166 0+ 33.6
Ho160 25.6 m 5+ EC *	Ho161 2.48 h 7/2- EC *	Ho162 15.0 m 1+ EC *	Ho163 4570 y 7/2- EC *	Ho164 29 m 1+ EC,β *	Ho165 7/2- 100

- Excellent chemical separation

Only $^{166\text{m}}\text{Ho}$



ECHO requirements:
 $^{166\text{m}}\text{Ho} / ^{163}\text{Ho} < 10^{-9}$

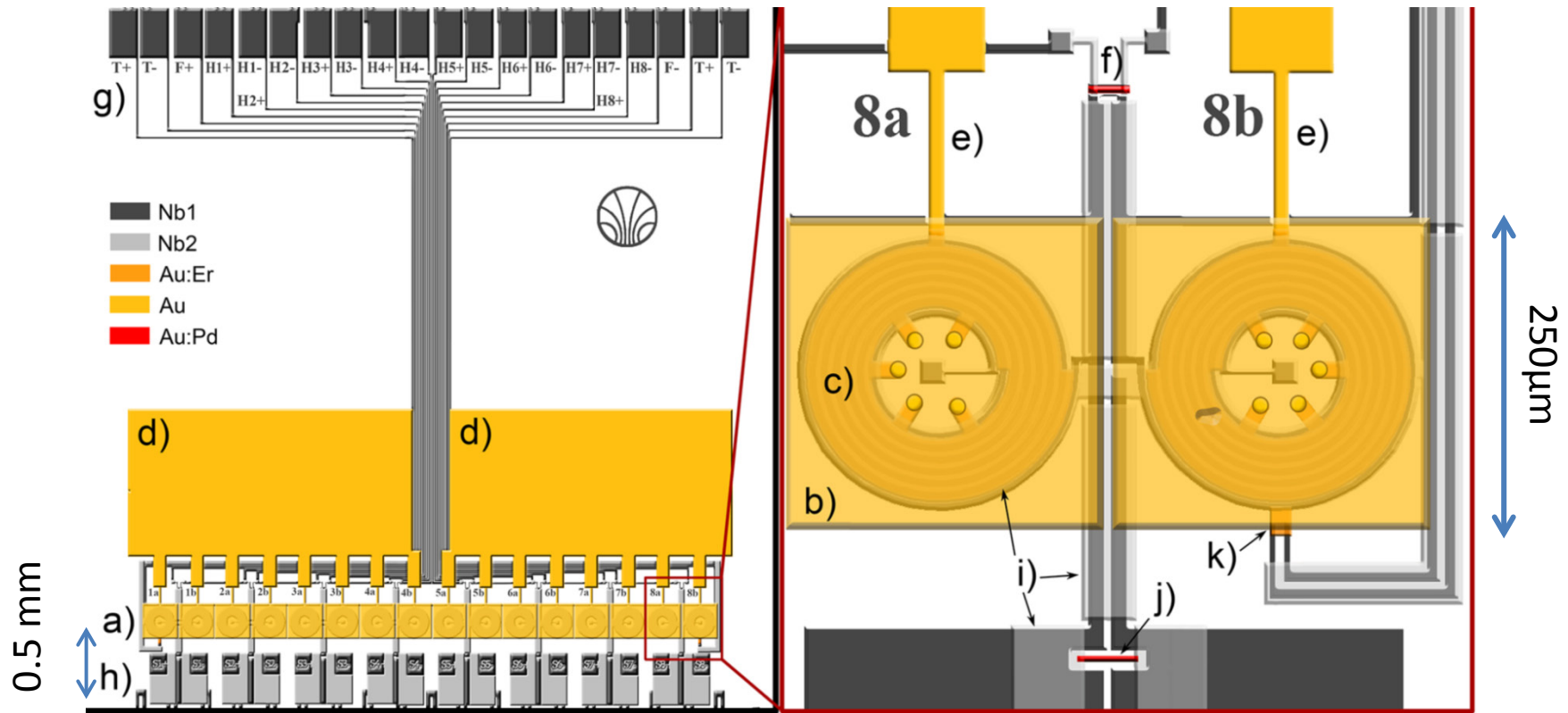
Offline mass separation:
RISIKO, Mainz University
ISOLDE-CERN

- Available ^{163}Ho source:

~ 10^{18} atoms

Detector chip for second ^{163}Ho implantation

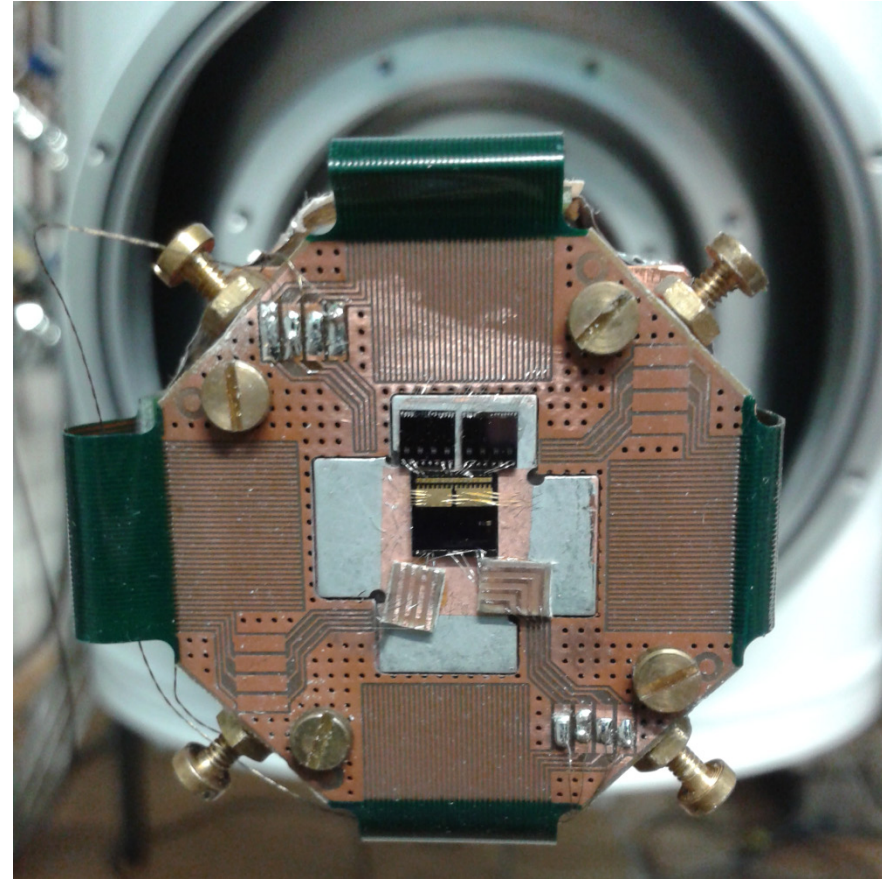
- **maXs-20:**
 - sandwich sensor design
 - absorber connected to sensor through stems
 - 16 pixels



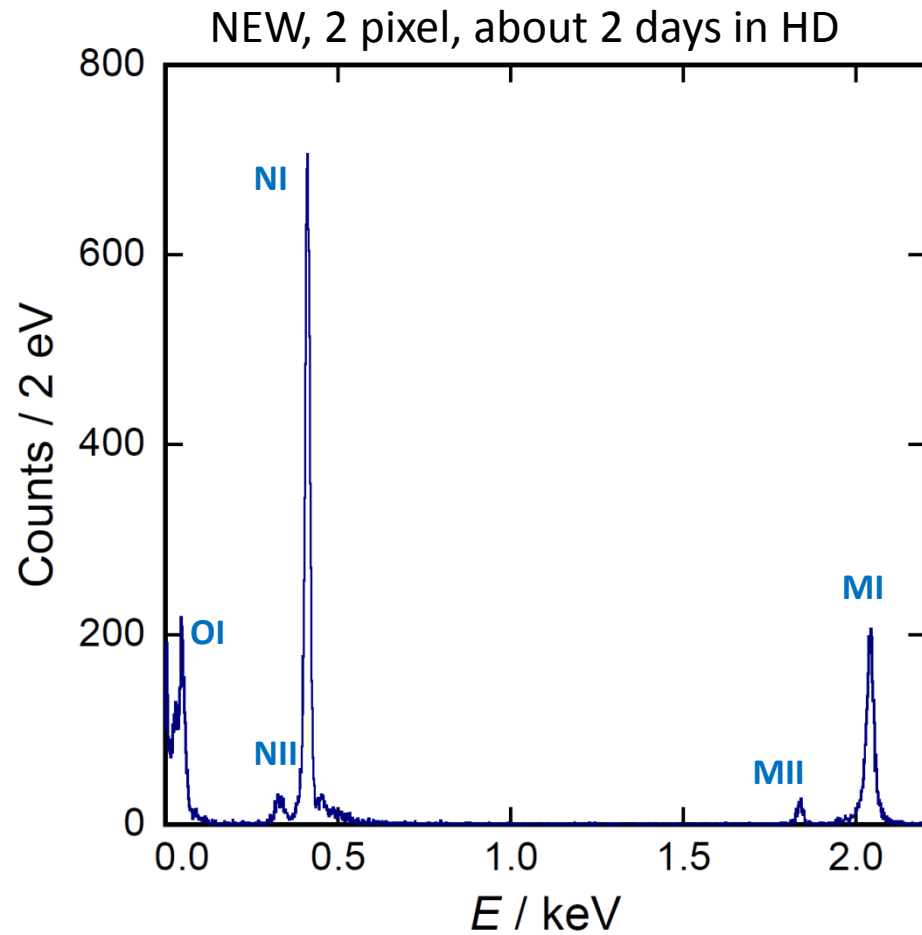
- Chemically purified ^{163}Ho source
- Offline implantation @ISOLDE-CERN using GPS and RILIS (December 2014)

New detectors ready for ...

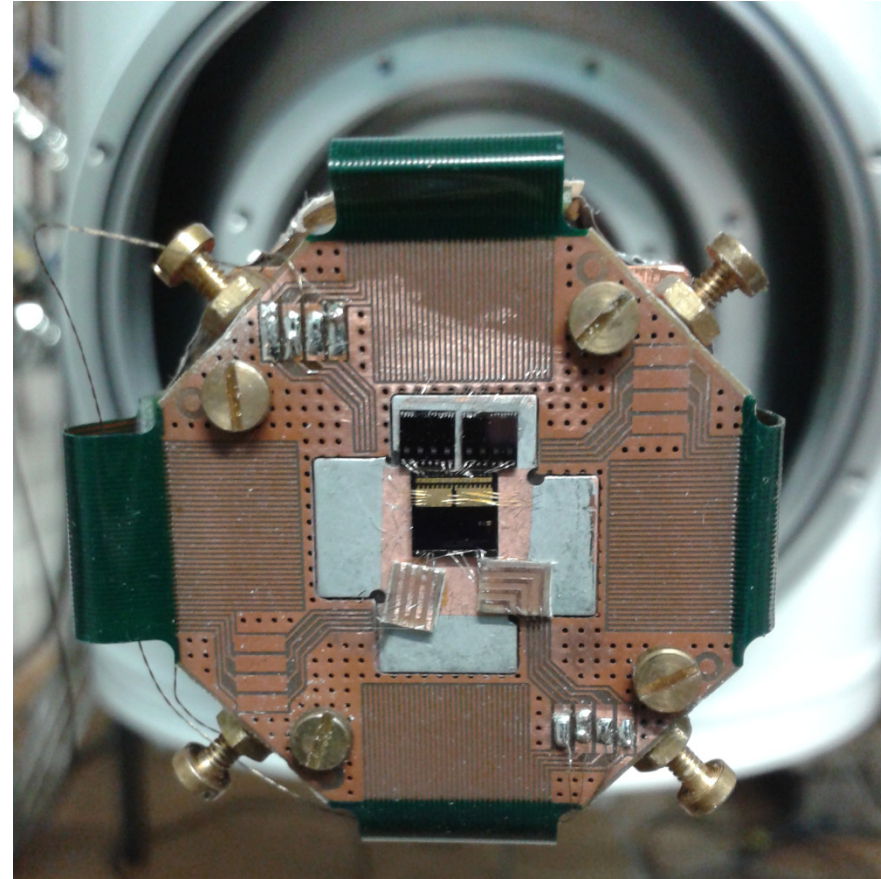
Mounted on a cold arm of a dry cryostat



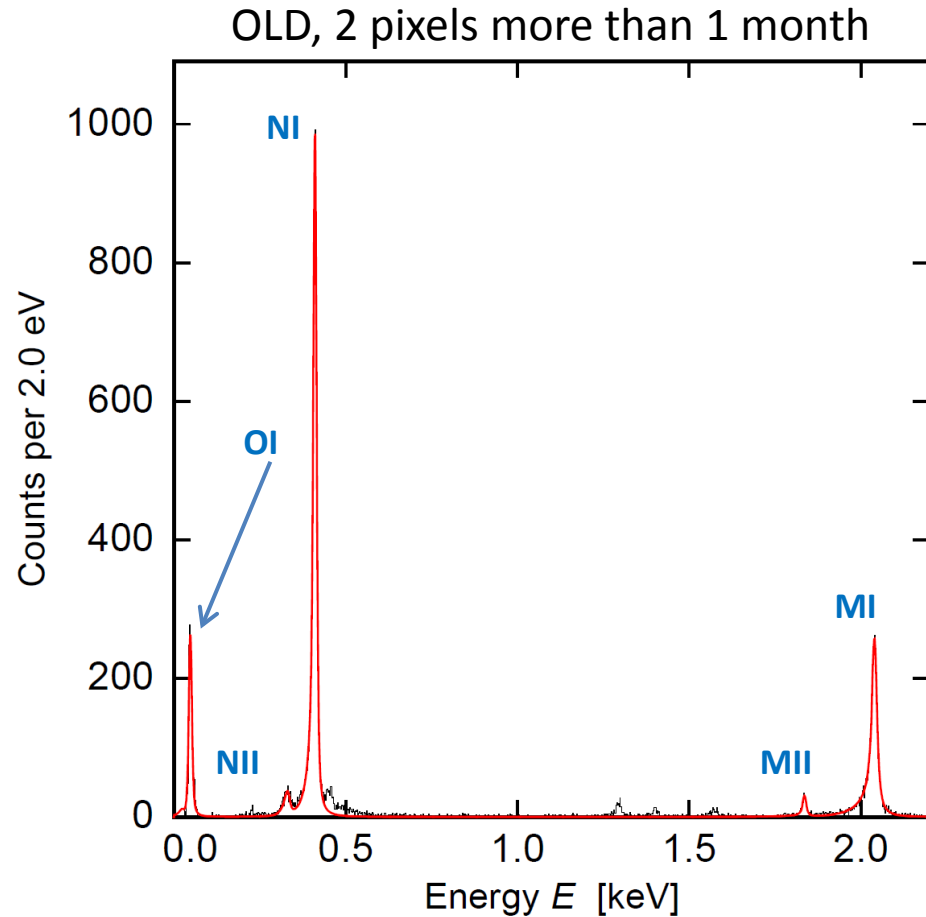
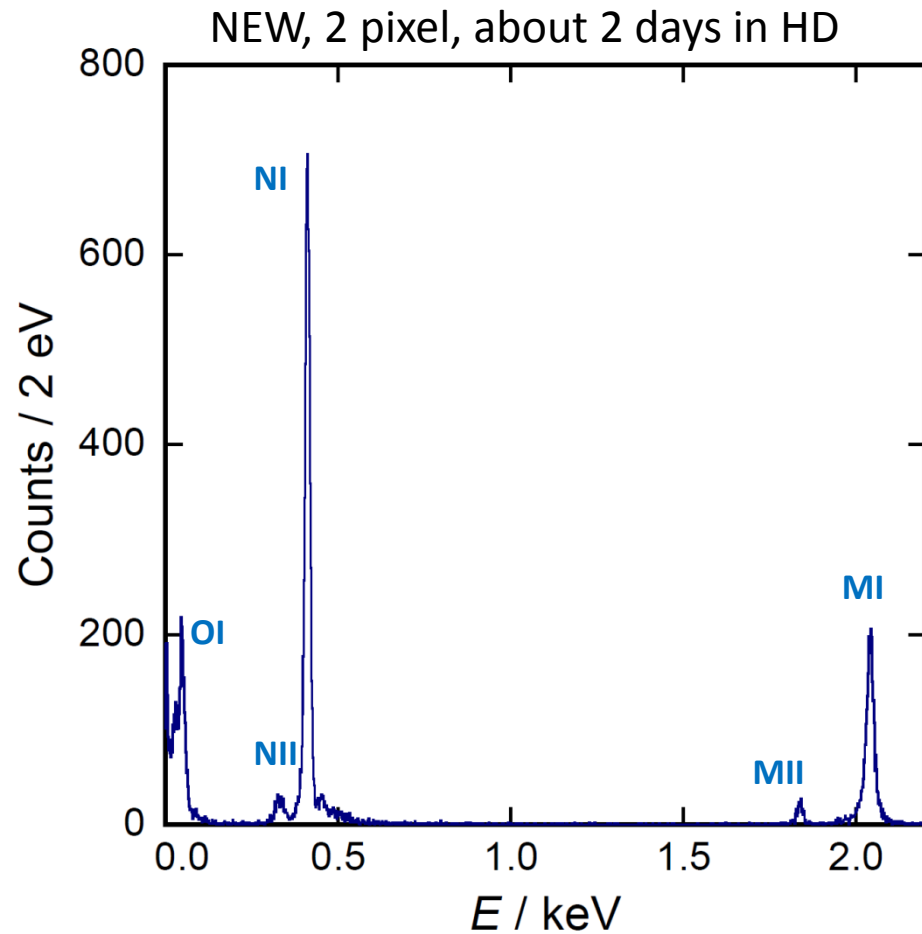
... first results



Mounted on a cold arm of a dry cryostat

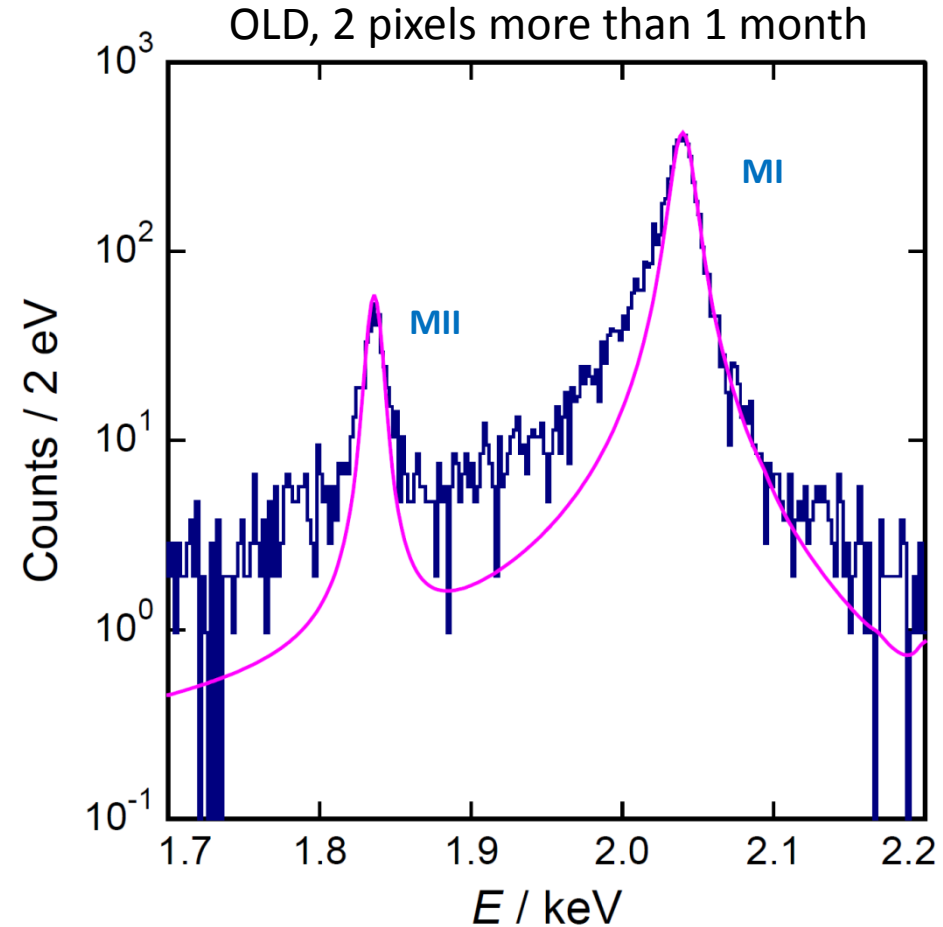
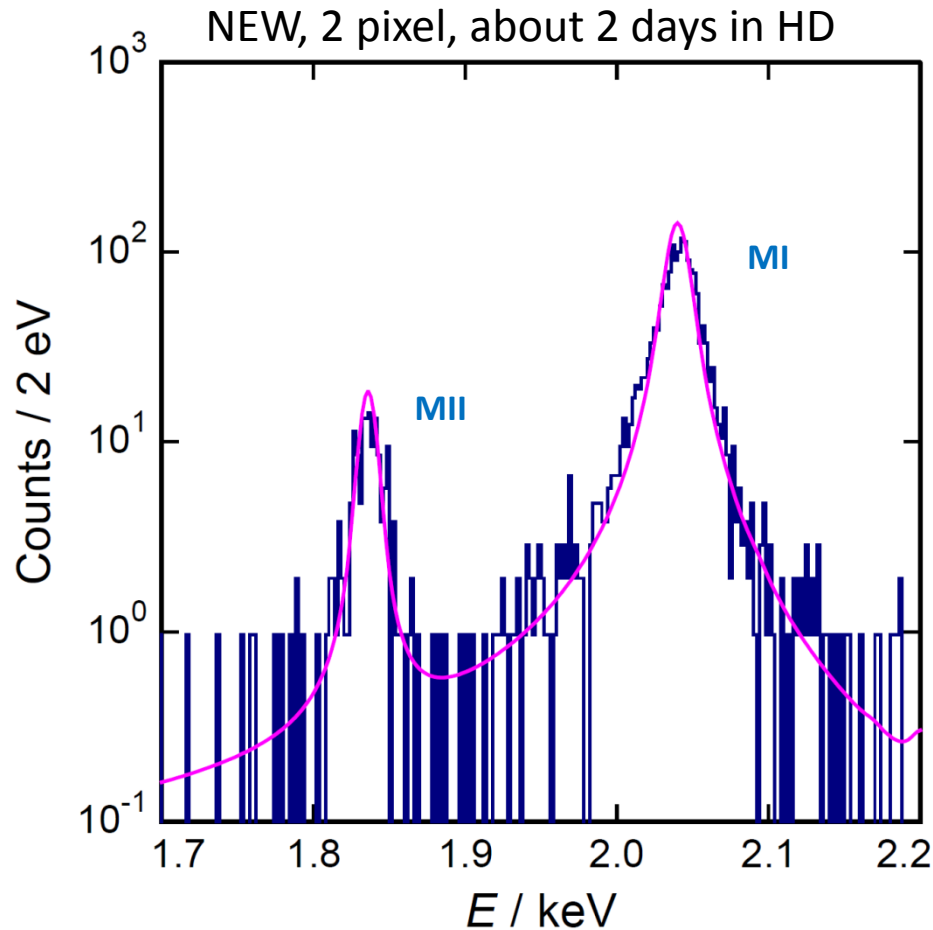


... first results



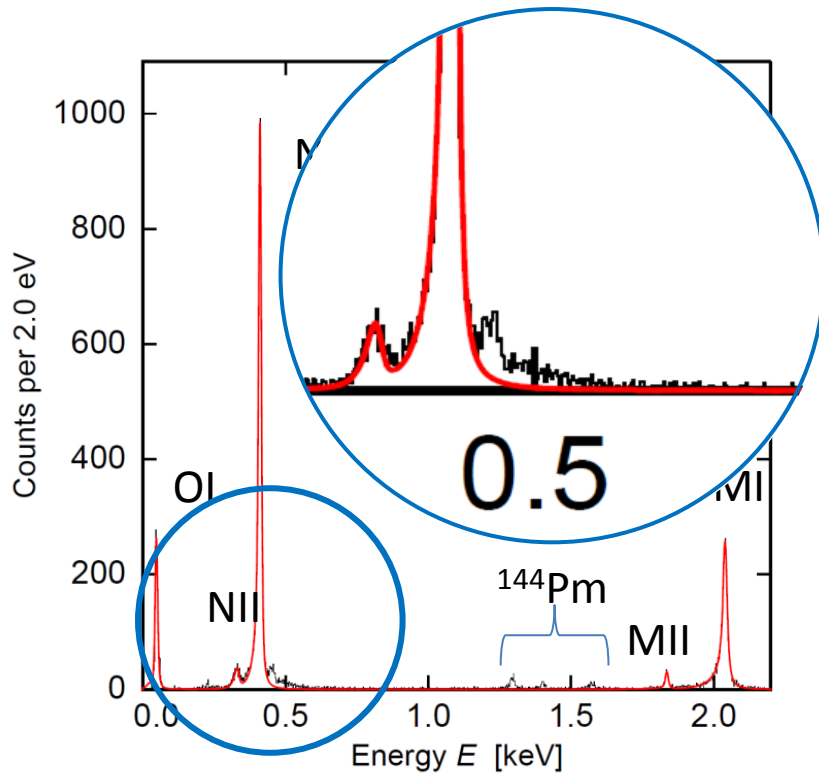
- Activity per pixel $A \sim 0.1 \text{ Bq}$
- Baseline resolution $\Delta E_{\text{FWHM}} \sim 5 \text{ eV}$
- No strong evidence of radioactive contamination in the source

... first results



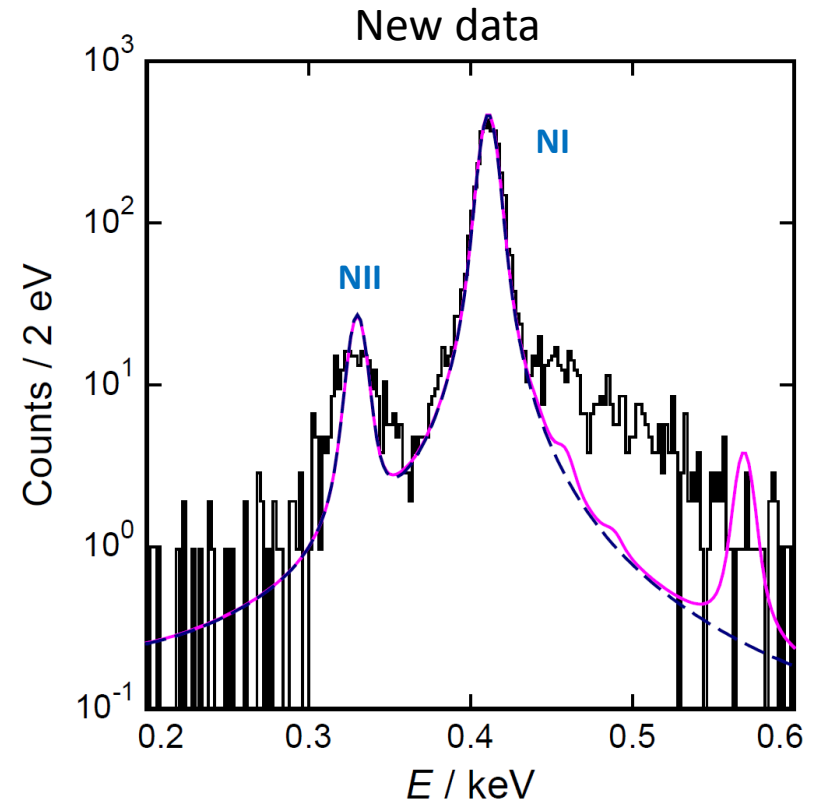
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- Baseline resolution $\Delta E_{\text{FWHM}} \sim 5 \text{ eV}$
- No strong evidence of radioactive contamination in the source
- Symmetric detector response

Characterisation of spectral shape



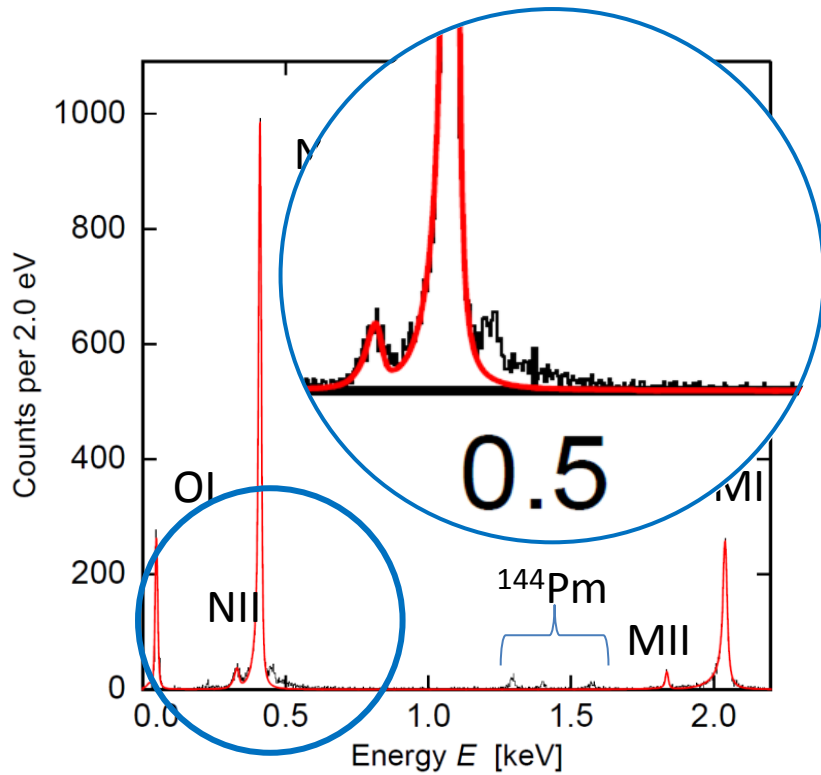
Estimate the effect of

- Higher order excitation in ^{163}Dy
- ^{163}Ho ion embedded in Au



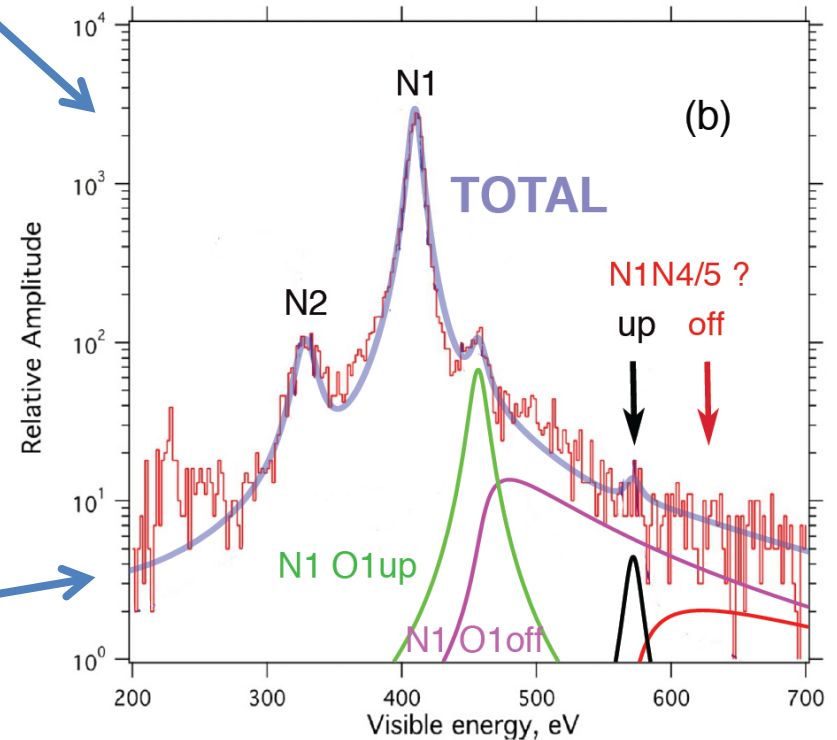
- A. Faessler et al.
J. Phys. G **42** (2015) 015108
- R. G. H. Robertson
Phys. Rev. C **91**, 035504 (2015)
- **A. Faessler et al.**
***Phys. Rev. C* **91**, 045505 (2015)**
- A. Faessler et al.
Phys. Rev. C **91**, 064302 (2015)
- A. De Rujula et al.
<http://arxiv.org/pdf/1510.05462.pdf>

Characterisation of spectral shape



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- ^{163}Ho ion embedded in Au



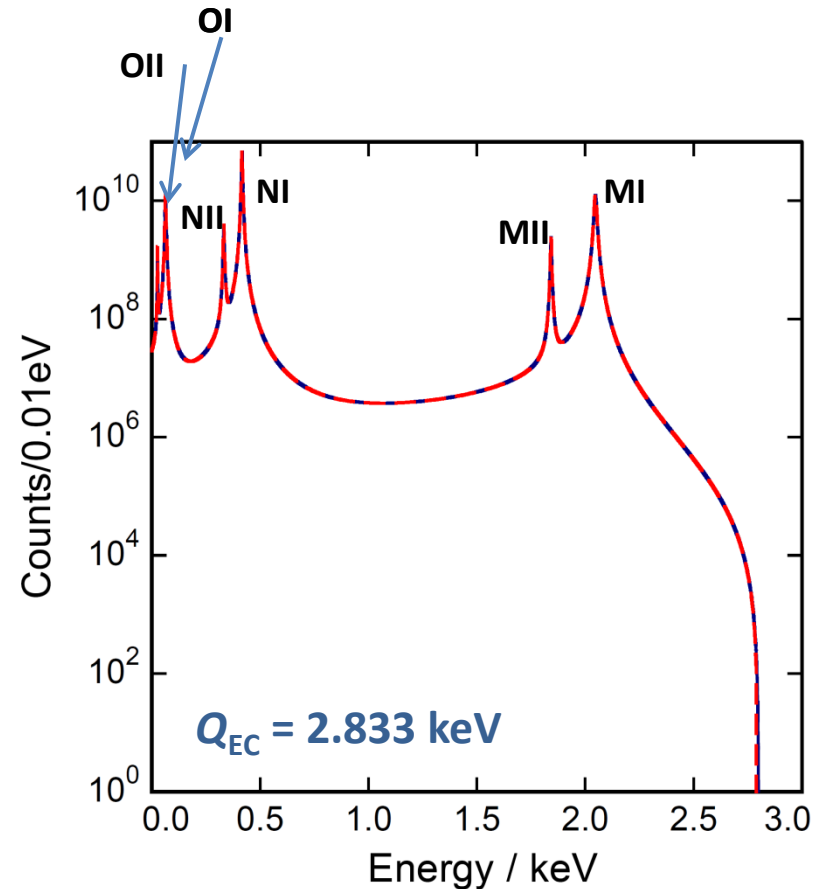
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- A. Faessler et al.
Phys. Rev. C **91**, 064302 (2015)
- **A. De Rujula et al.**
<http://arxiv.org/pdf/1510.05462.pdf>

Sterile Neutrino and ^{163}Ho

How does
the existence of sterile neutrino
affect the EC spectrum?

Sterile Neutrino and ^{163}Ho

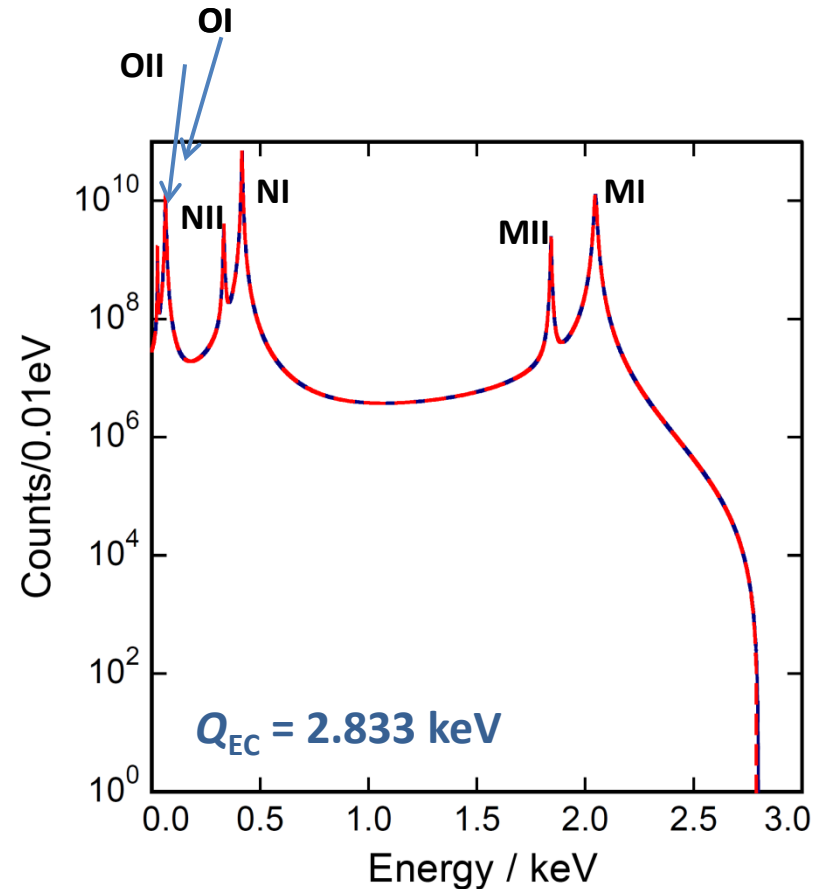
$$\frac{dW}{dE_C} = A(Q_{\text{EC}} - E_C)^2 \sqrt{1 - \frac{m_\nu^2}{(Q_{\text{EC}} - E_C)^2}} \sum_H B_H \phi_H^2(0) \frac{\frac{\Gamma_H}{2\pi}}{(E_C - E_H)^2 + \frac{\Gamma_H^2}{4}}$$



Sterile Neutrino and ^{163}Ho

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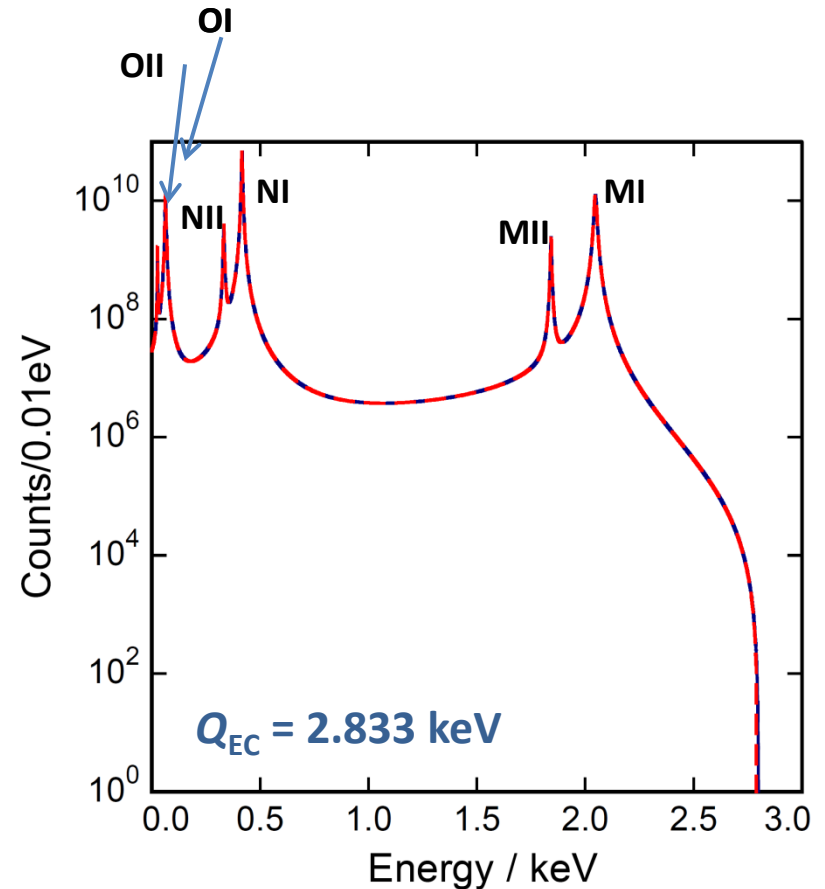
$$m_\nu^2 = \sum_i |U_{ei}|^2 m_i^2$$



Sterile Neutrino and ^{163}Ho

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Sterile Neutrino and ^{163}Ho

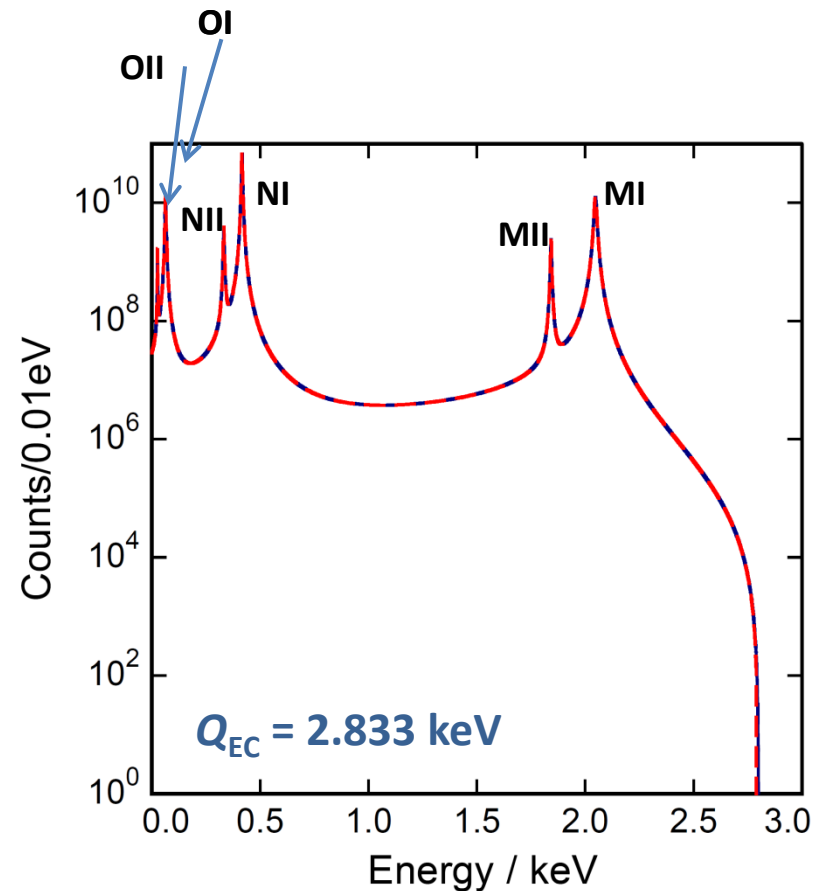
$$\frac{dW}{dE_C} = A(Q_{\text{EC}} - E_C)^2 \left[(1 - |U_{e4}|^2) + |U_{e4}|^2 \sqrt{1 - \frac{m_4^2}{(Q_{\text{EC}} - E_C)^2}} H(Q_{\text{EC}} - E_C - m_4) \right] \sum_{\text{H}} B_{\text{H}} \varphi_{\text{H}}^2(0) \frac{\frac{\Gamma_{\text{H}}}{2\pi}}{(E_C - E_{\text{H}})^2 + \frac{\Gamma_{\text{H}}^2}{4}}$$

$$m_{\nu}^2 = \sum_i |U_{ei}|^2 m_i^2$$

$$m_{1,2,3} = 0$$

$$m_4 \neq 0$$

$$|\nu_e\rangle = \sum_{i=1}^3 U_{ei} |\nu_i\rangle + U_{e4} |\nu_4\rangle$$



Sterile Neutrino and ^{163}Ho

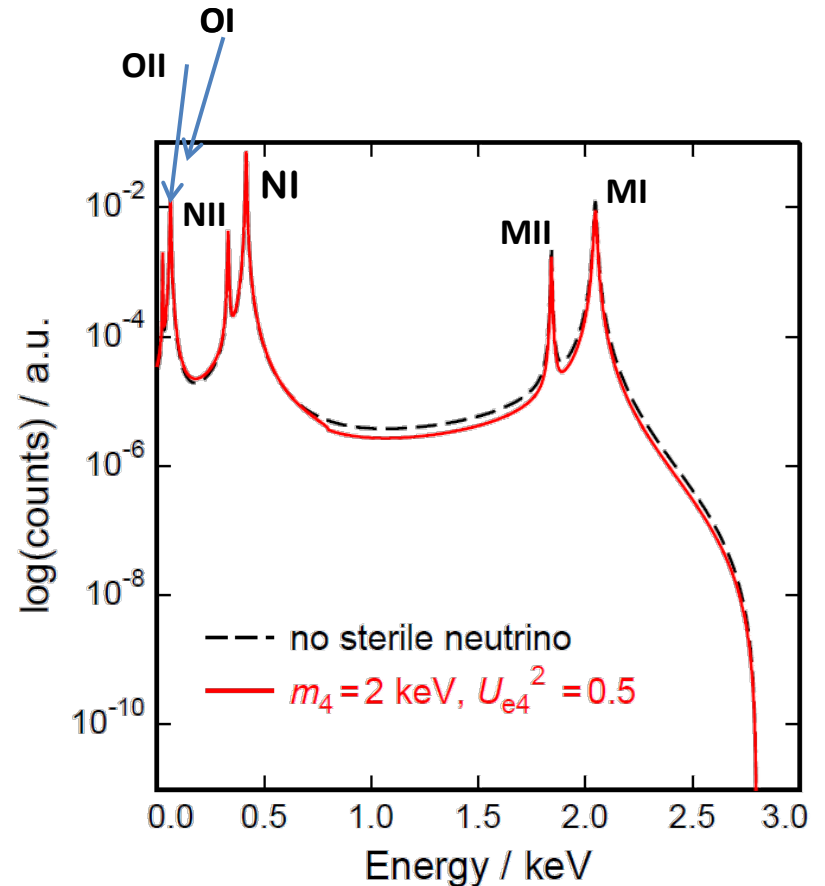
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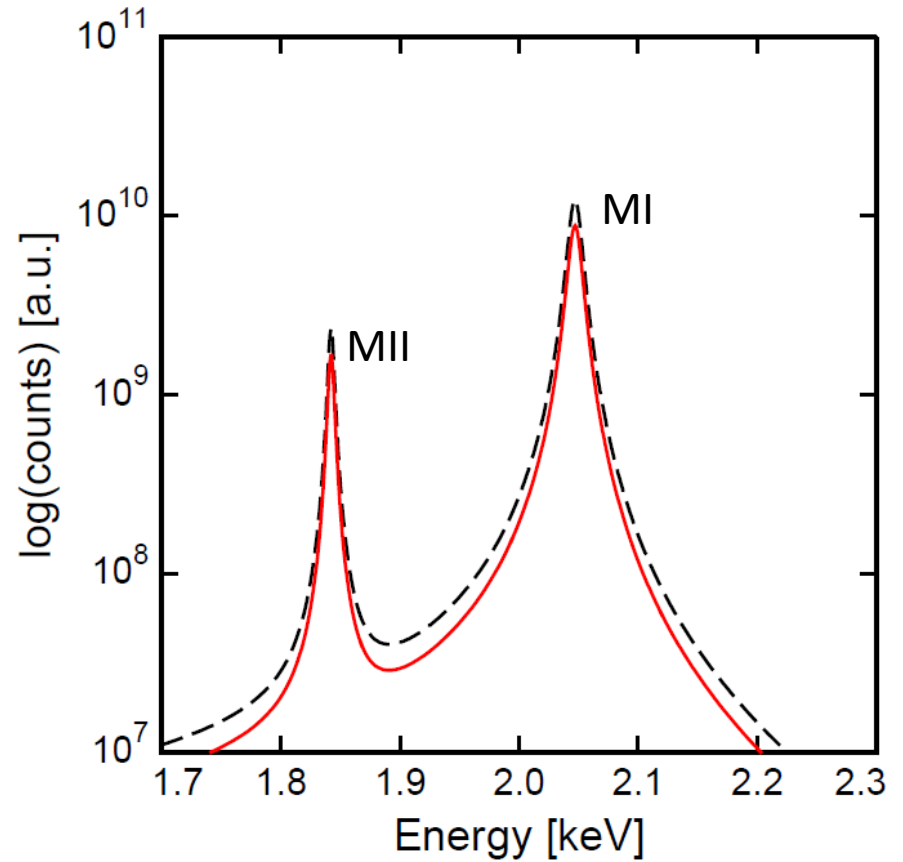
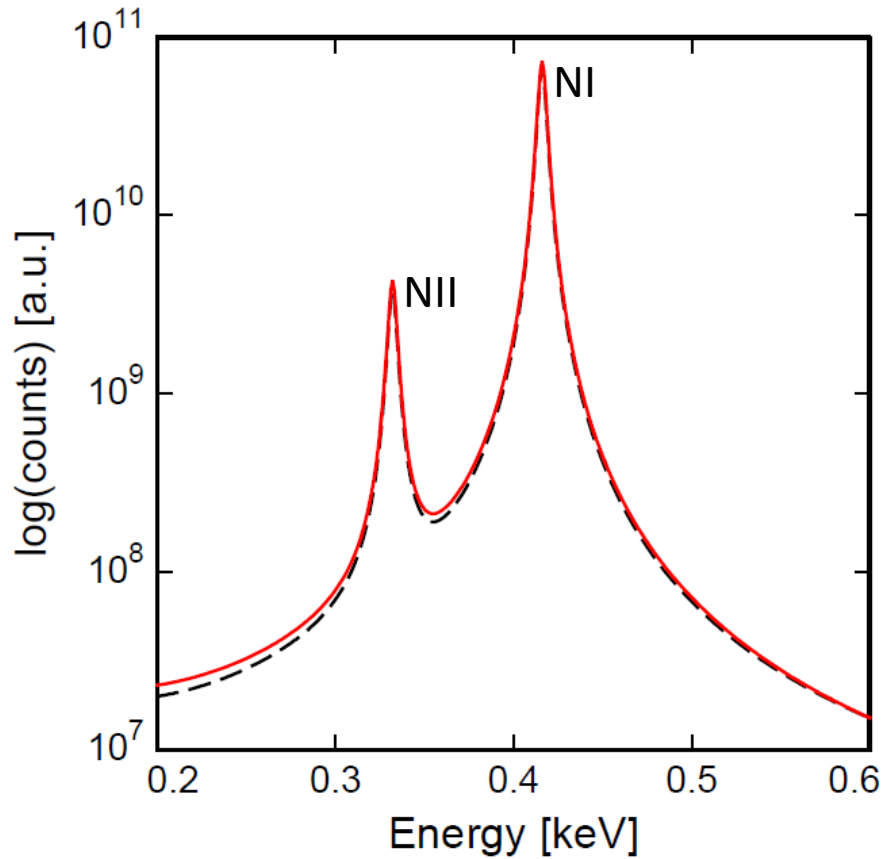
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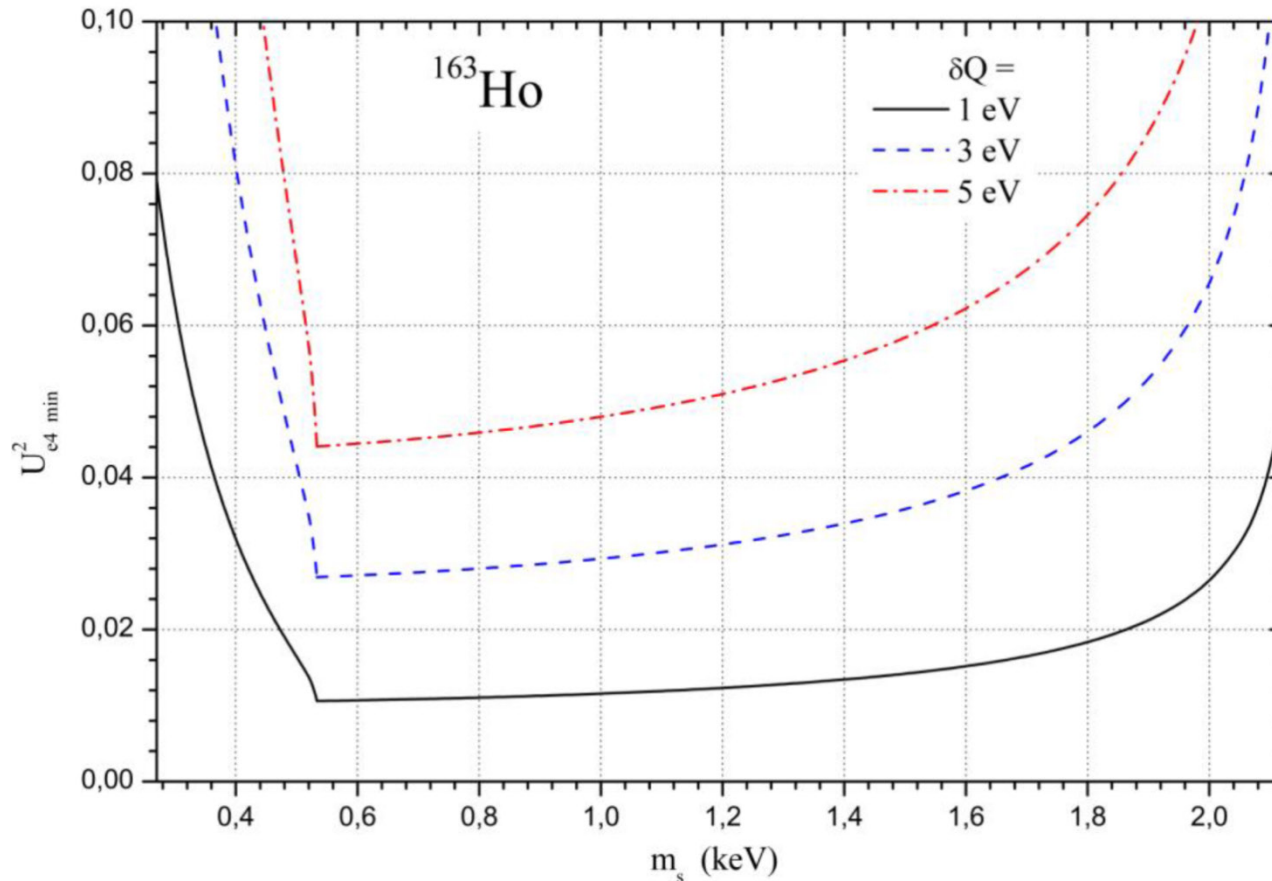
Sterile Neutrino and ^{163}Ho

$m_4=2\text{ keV}$, $U_{e4}^2=0.5$

no sterile neutrino

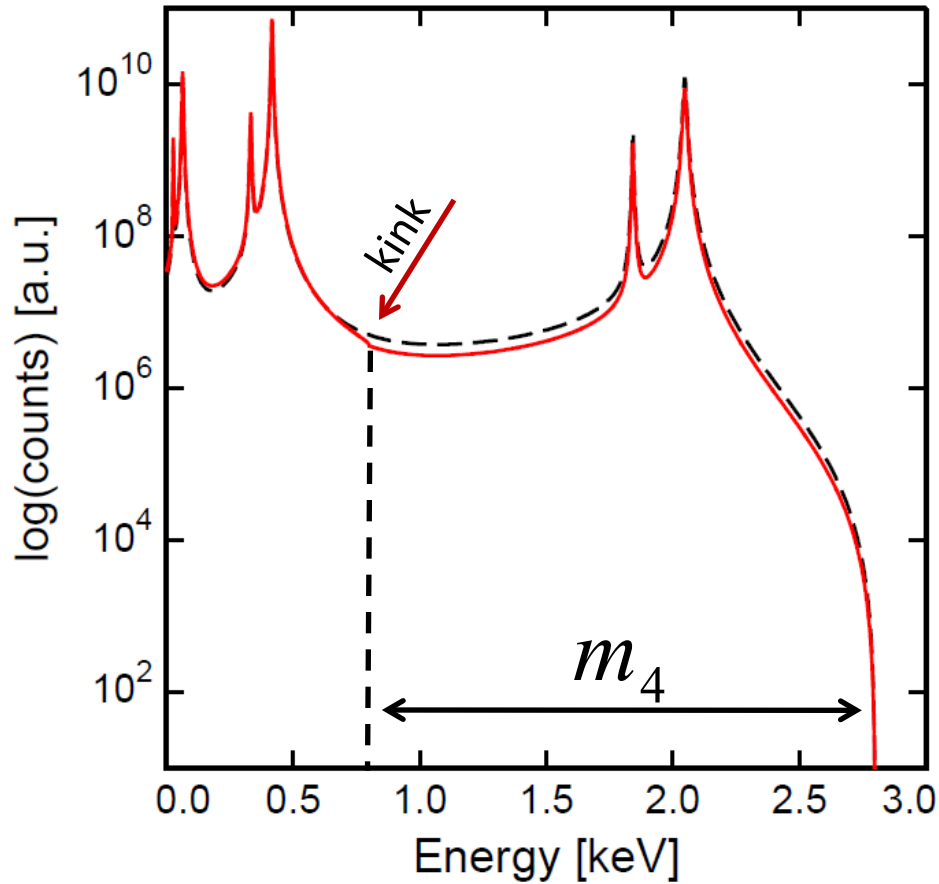


Sterile Neutrino and ^{163}Ho

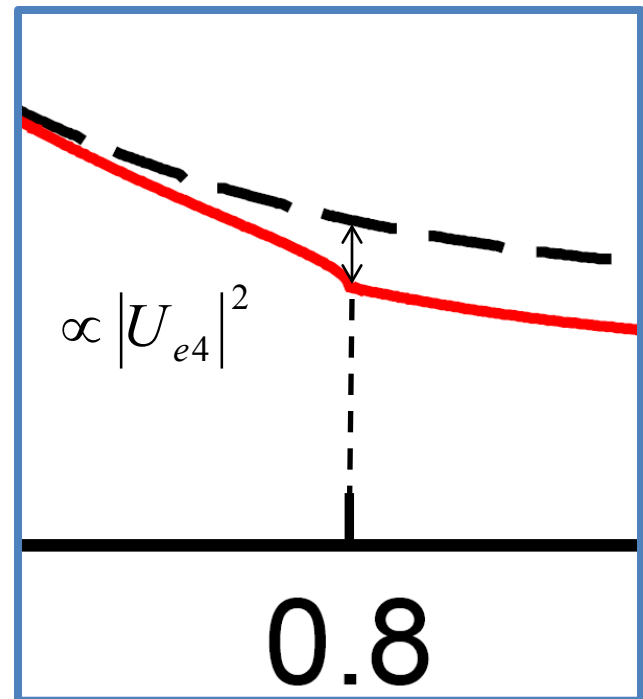


Sensitivity to the mixing matrix element at 90% CL as a function of the sterile neutrino mass achievable with about 10^{10} events in the full EC spectrum.

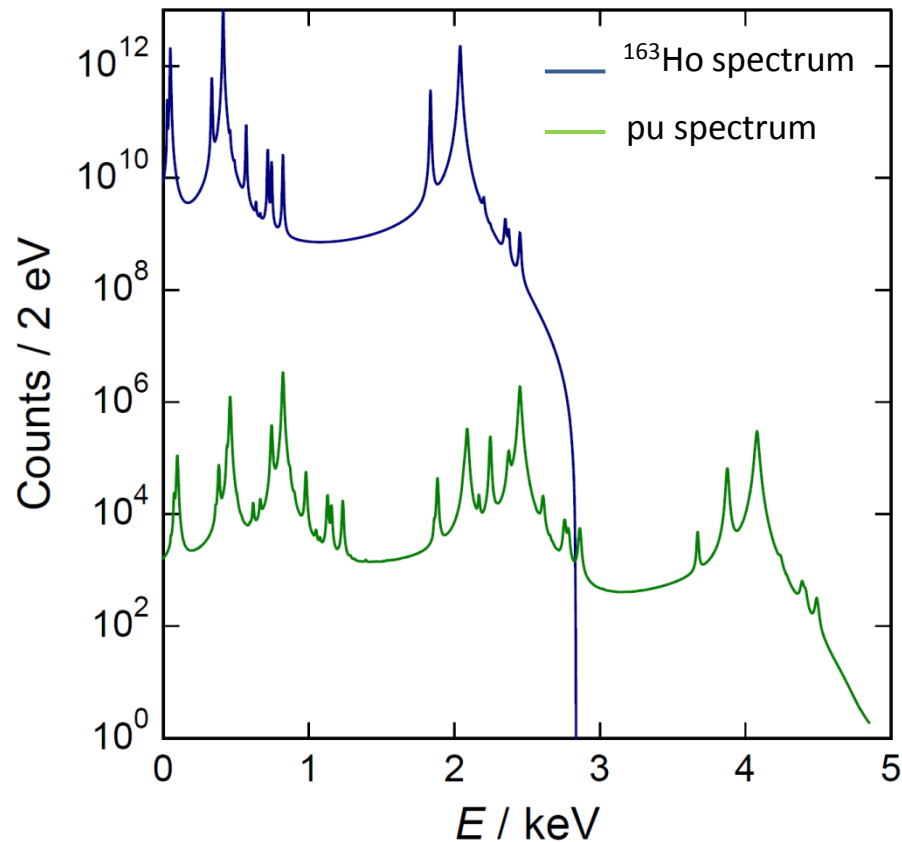
Sterile Neutrino and ^{163}Ho



- position of kink $\Rightarrow m_4$
- depth of kink $\Rightarrow |U_{e4}|^2$

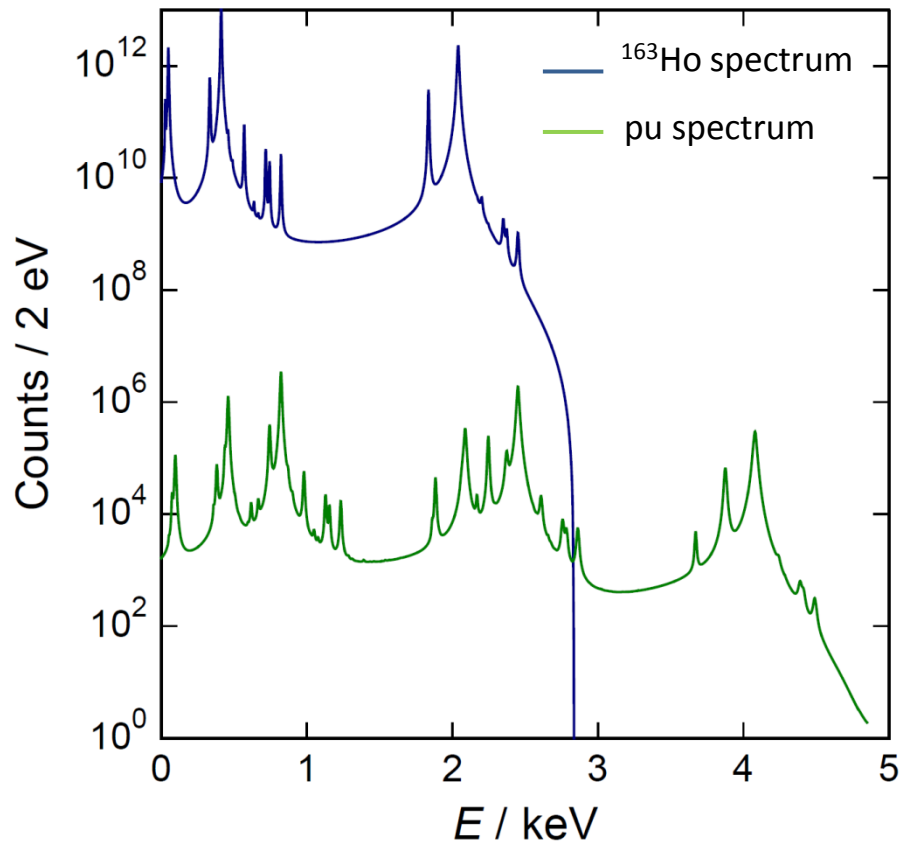


Other small structures on the ^{163}Ho spectrum



Many peaks due to higher order excited states in ^{163}Dy and the corresponding structures in the pile up spectrum

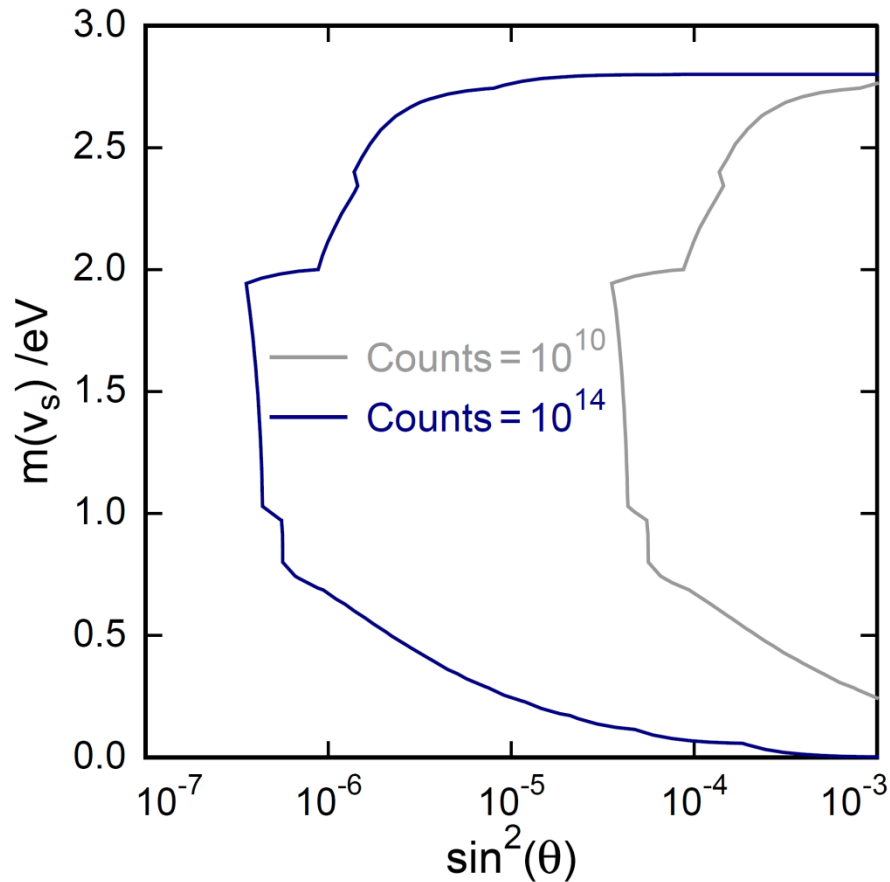
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Identification of sterile neutrinos signatures could be limited by the complex structure of the ^{163}Ho spectrum

Sterile Neutrino in ECHO



- **Statistical Fluctuation**
- **No Pile Up**
- **Theoretical Spectrum supposed to be perfectly known**

Sterile Neutrino (keV) and Electron Capture

Other candidates in the EC branch:

- $Q_{\text{EC}} < 100 \text{ keV}$
- Reasonable halflife

Nuclide	$T_{1/2}$	EC-transition	Q (keV) [22]	B_i (keV) [23]	B_j (keV) [23]	$ \Psi_i ^2/ \Psi_j ^2$	$Q-B_i$ (keV)
^{123}Te	$>2 \cdot 10^{15} \text{ y}$?	52.7(16)	K: 30.4912(3)	L _I : 4.9392(3)	7.833	22.2
^{157}Tb	71 y	$3/2^+ \rightarrow 3/2^-$	60.04(30)	K: 50.2391(5)	L _I : 8.3756(5)	7.124	9.76
^{163}Ho	4570 y	$7/2^- \rightarrow 5/2^-$	2.555(16)	M _I : 2.0468(5)	N _I : 0.4163(5)	4.151	0.51
^{179}Ta	1.82 y	$7/2^+ \rightarrow 9/2^+$	105.6(4)	K: 65.3508(6)	L _I : 11.2707(4)	6.711	40.2
^{193}Pt	50 y	$1/2^- \rightarrow 3/2^+$	56.63(30)	L _I : 13.4185(3)	M _I : 3.1737(17)	4.077	43.2
^{202}Pb	52 ky	$0^+ \rightarrow 2^-$	46(14)	L _I : 15.3467(4)	M _I : 3.7041(4)	4.036	30.7
^{205}Pb	13 My	$5/2^- \rightarrow 1/2^+$	50.6(5)	L _I : 15.3467(4)	M _I : 3.7041(4)	4.036	35.3
^{235}Np	396 d	$5/2^+ \rightarrow 7/2^-$	124.2(9)	K: 115.6061(16)	L _I : 21.7574(3)	5.587	8.6

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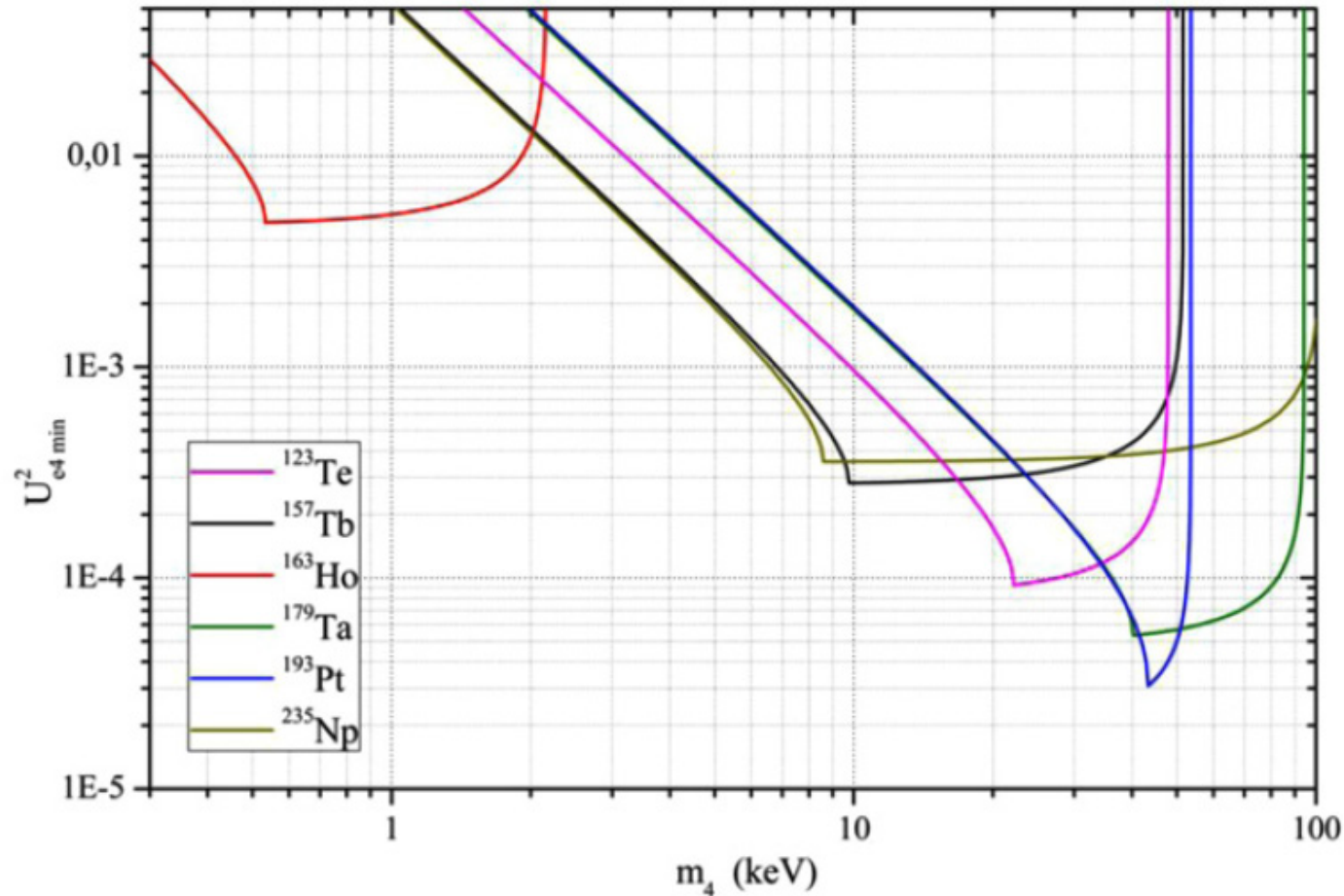
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Sterile Neutrino (keV) and Electron Capture



Same statistics + including errors : $(\delta \psi_{ij} = 0)$ $\delta Q_{\text{EC}} = 1 \text{ eV}$ $\delta E_{i,j} = 0.1 \text{ eV}$.

Conclusions and outlook

- ECHO is designed to investigate the electron neutrino mass in the sub-eV range:

ECHO-1k: 10^3 Bq $m(\nu_e) < 10$ eV 90% C.L.

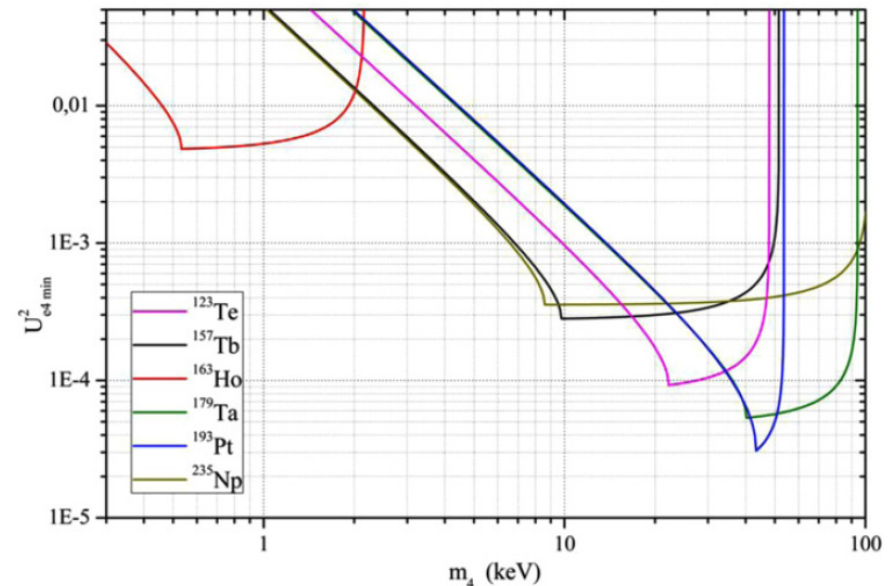
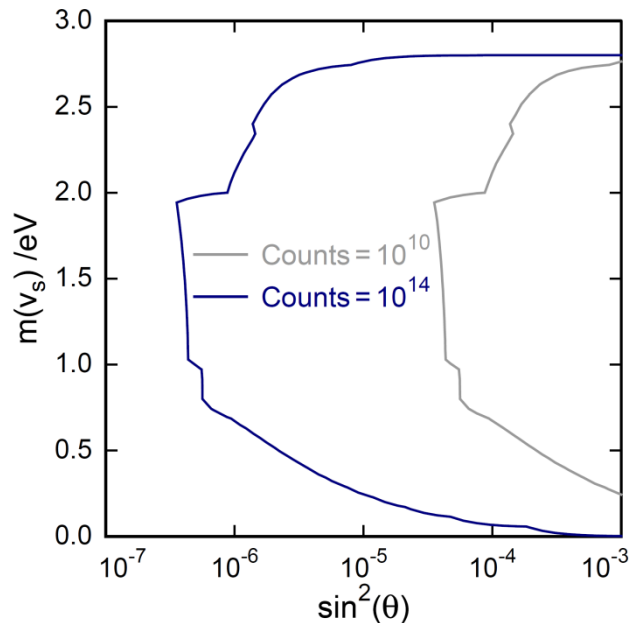
ECHO-1M: 10^6 Bq $m(\nu_e) < 1$ eV 90% C.L.

- Possibility to investigate the existence of keV sterile neutrinos:

Limited mass range

presence of resonances complicate the analysis

- Other EC candidates could open larger mass range to be tested



Thank you!

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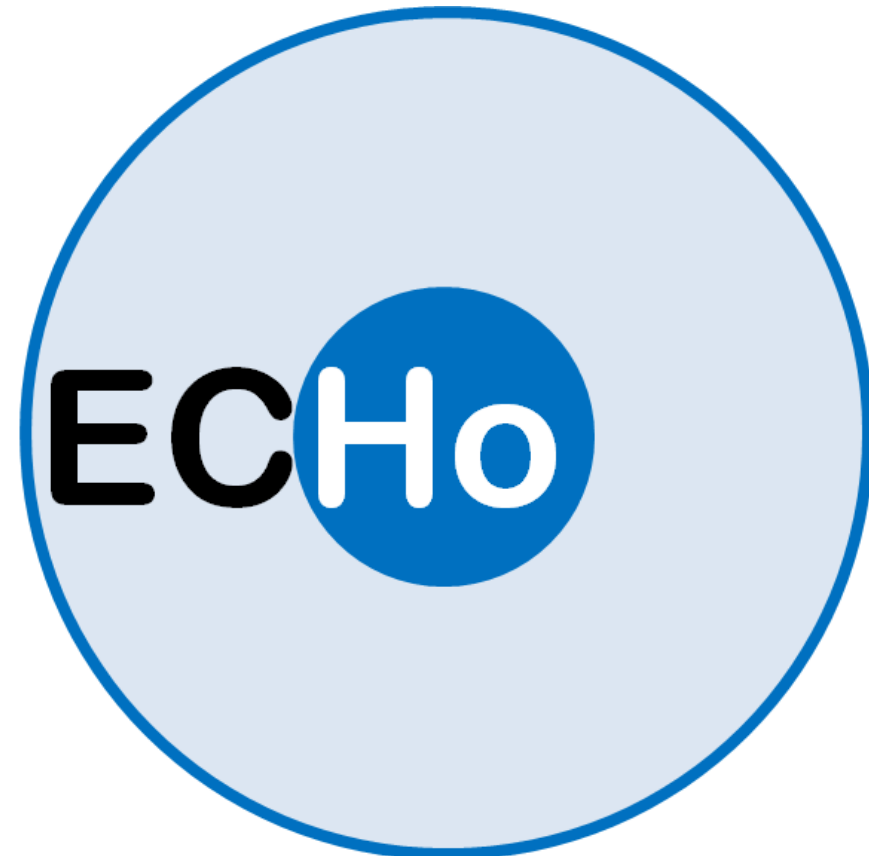
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Sterile Neutrino and ^{163}Ho

- Amplitude of the line H for only active neutrinos

$$W_{H\bar{a}} = A(Q_{\text{EC}} - E_{\text{H}})^2 B_{\text{H}} \phi_{\text{H}}^2(0)$$

- Amplitude of the line H for 3+1 model in case of $m_a = 0$ eV

$$W_{Hs} = A(Q_{\text{EC}} - E_{\text{H}})^2 \left[\left(1 - |U_{e4}|^2\right) + |U_{e4}|^2 \sqrt{1 - \frac{m_4^2}{(Q_{\text{EC}} - E_c)^2}} H(Q_{\text{EC}} - E_c - m_4) \right] B_{\text{H}} \phi_{\text{H}}^2(0)$$

- Ratio between amplitudes of two lines in the spectrum for 3+1 model in case of $m_a = 0$ eV

$$\left(\frac{W_{H1}}{W_{H2}}\right)_s = \left(\frac{W_{H1}}{W_{H2}}\right)_a \frac{|U_{e4}|^2 \left[H(Q_{\text{EC}} - E_1 - m_4) \sqrt{1 - \frac{m_4^2}{(Q_{\text{EC}} - E_1)^2}} - 1 \right] + 1}{|U_{e4}|^2 \left[H(Q_{\text{EC}} - E_2 - m_4) \sqrt{1 - \frac{m_4^2}{(Q_{\text{EC}} - E_2)^2}} - 1 \right] + 1}$$

Sterile neutrino effect on ^{163}Ho spectrum

